



**PRAHLADRAI DALMIA LIONS COLLEGE
OF COMMERCE & ECONOMICS**
ISO 9001: 2015 Certified

B.Com (Investment Management)

**Syllabus for Class Test, (CIA), AUGUST, 2022
SYBIM SEMESTER III**

Date - 27th July, 2022

Sr. No.	Name of the Course	Name of the Professor	Portion/ Syllabus
1	Financial Management-I	Prof. Pankaj Jain	Capital Budgeting & Cost of capital
2	Cost and Management Accounting	Prof. Satish Pandey	Basic Introduction, Cost Sheet and Reconciliation of Cost and Financial Accounting
3	Mutual Fund Management	Prof. Sheetal Poojari	UNIT 1: Introduction to Mutual Fund A) History, Definition, Meaning, Characteristics, Advantages Disadvantages, Limitations, -- Sponser, Trust, Trustee, AMC, RTA B) Legal framework:- Role of regulatory agencies for Mutual Funds- SEBI, RBI, AMFI, MOF, SRO, CLB, ROC, Mutual Fund guidelines on advertisement, Investor Protection and Mutual fund regulation, Grievance mechanism in Mutual funds in India
4	Information Technology in Investment Management-I	Prof. Gufran Qureshi	Unit I: Ecommerce A) Introduction to Electronic Commerce - E-Commerce Framework, E-Commerce and media convergence, Anatomy of E-Commerce Applications, E-Commerce Consumer and Organization Applications B) The network Infrastructure for Electronic Commerce - Market forces influencing the I-way, Components of I-way, Network Access Equipment C) E-Commerce and World Wide Web - Architectural framework of E-Commerce, WWW and its architecture, hypertext publishing, Technology behind the web, Security and the Web. Unit II: Support System A) Management Information System (MIS) - Definition of MIS, Role and Impact of MIS, MIS and Users, MIS as a Control System, MIS –a support to the Management, Management Effectiveness and MIS, Organization as a System, MIS: Organization Effectiveness.
5	Fundamentals of Capital Market	Prof. Subodh Nagwekar	1. Overview of Capital Market 2. Capital Market Instruments 3. Rating & Grading of Instruments
6	Security Analysis and Portfolio Management	Prof. Ashish Shukla	Portfolio Management – An Introduction : A) Investment- Meaning, Characteristics, Objectives, Investment V/s Speculation, Investment V/s Gambling and Types of Investors B) Portfolio Management – Meaning, Evolution, Phases, Role of Portfolio Managers, Advantages of Portfolio Management. C) Investment Environment- Investment Environment in India and factors conducive for investment in India.
7	Foundation Course - III	Prof. Garima Bansal	Major financial management decisions(financial, investment,dividend) meaning and concept, financial markets, instruments, institutions, services, system (meaning, definition, types, examples and concept), BSE and NSE. And RBI and SEBI(concept and composition) , financial Regulators, SCRA meaning, definition and concept, securities and derivatives meaning and types, role and objectives, corporatisation and demutualisation concept, list of government securities to take loan, types of contracts in SCRA, penalties and bye laws in SCRA

Prof. Pankaj Jain
Co-ordinator

Prof. Durgesh Kenkre
Exam Convenor

Prof. Subhashini Naikar
Vice-Principal- SFC

Dr. Kiran Mane
I/C Principal