

**Internal Question Bank for ATKT Internal Exam December,
2020 FYBIM Semester II**

Subject: Introduction to Financial Intermediaries

Roll No. 119 (Bhavesh Jhadav)

1. Role and functions of Financial Intermediaries
2. What is Non- Banking Financial Companies with and Example
3. Explain the regulation of D-MAT
4. Explain the future and challenges faced by Insurance companies
5. Short Note on NABARD

Subject: Introduction to Accounting- II

Roll No. 119 (Bhavesh Jhadav)

1. Short notes on Divisible profits
2. 2. X limited invited application for 10000 shares of the value of Rs. 10 each. The amount is payable as Rs. 2 on application and Rs. 5 on allotment and balance on first and final Call. The whole of the above issue was applied and cash duly received. Give Journal Entries for the above transactions.
3. 3. Nari Ltd exports on 15-12-2012 goods worth \$ 650000 to Orient Traders in New York. The payment was received on 28-2-2013. On the date of export, the exchange rate was \$ 1 = Rs. 45. The dollars were actually received when the exchange rate was \$ 1= Rs. 48. Record the transactions in the book of Nari Ltd. In accordance with AS11 (Revised)
4. 4. Mr. T purchased 1000, 10% debentures of Rs. 100 each on 1st April, 2013 at Rs. 96 cum interest, the previous interest date being 31st December, 2012. Compute cost of investment.

Roll No. 120 (Jain Jainam)

1. The ledger accounts of M.N. Ltd. show the following balances:

14% Preference Share Capital – Rs. 3, 00,000

General Reserve – Rs. 80,000

Securities Premium – Rs. 20,000

Profit and Loss Account - Rs. 38,600

Investment Allowance Reserve- Rs. 50,000

The company redeems preference shares at a premium of 10% by issue of equity shares of Rs. 10 each at a premium of 20%. Fresh issue of shares is made in lots of 100 shares for such amount as is necessary after utilizing the available sources to the maximum extent.

Calculate (i) Number of fresh shares issued. (ii) Amount transferred to Capital Redemption Reserve. (iii) Journal Entry for transfer

2. On 1st April, 2015, Mr. Rajesh holds 1000 10% Debentures of Rs. 100 each at cost Rs. 99 each. Interest is payable on 30th June and 31st December every financial year.

Following transactions made by Mr. Raj during the period:

| Date | No. of Debentures | Price |
|--------------------------------|--------------------------|----------------------|
| 1 st July, 2015 | purchased 500 debentures | Rs. 98 ex-interest |
| 1 st November, 2015 | sold 900 debentures | Rs. 101 cum-interest |

Prepare Investment Account in the books of Mr. Raj for the year ended 31st March, 2016.

3. Explain Foreign Fluctuation Account in detail.
4. Short note on Non – Trading Institution and Service industries

Subject: Introduction to Wealth Management

Roll No. 119 (Bhavesh Jhadav)

1. Short note on financial life cycle
2. Explain the different phases in wealth management process
3. What is the role of insurance in wealth management
4. Short note on IRDA
5. Explain the factors of retirement planning

Subject: Investment Banking

Roll No. 119 (Bhavesh Jhadav)

1. Write a note on FPO
2. Explain the functions of investment banking
3. Distinguish between investment and gambling
4. Write a note on ASBA
5. Explain the features of venture capital.

Subject: Business Communication – II

Roll No. 119 (Bhavesh Jhadav)

1. Grievance Interview
2. Need and importance of meetings
3. Teleconference
4. The 'Publics' of Public Relations
5. Aida Formula

Subject: Introduction to Financial Markets

Roll No. 119 (Bhavesh Jhadav)

1. Explain the features of financial markets
2. Distinction between primary and secondary market
3. Concept of rolling settlement
4. Explain concept of IPO
5. Constituents of Indian financial system