

**Internal Question Bank for ATKT Internal Exam  
December, 2020 SYBIM Semester VI**

**Subject: Merchant Banking - II**

**Roll No: 215 (Yadula Agare)**

1. Explain the role of merchant banker in ESOP
2. Explain the role of merchant banker in takeover, merger and acquisition
3. Short note on SEBI regulation related to brokers
4. Short note on Factoring
5. Explain the scope of securitization

## **Subject: Auditing**

### **Roll No: (Parab Saily)**

1. Explain basic principles of auditing
2. What are errors? Explain the types of errors
3. What is continuous audit and what are its disadvantages
4. What are the qualities an auditor should possess
5. What are the steps taken by the auditor before commencement of audit work