



Prahladrai Dalmia Lions College of Commerce & Economics

Sunder Nagar, S.V. Road, Malad (W), Mumbai-400 064.





"ISO 9001:2015 CERTIFIED"

Syllabus for Class Test, (CIA), February 2023

Date : 01/02/2023

FYBIM - SEMESTER II

SR. NO	NAME OF THE PROFESSOR	SUBJECT	MODULE COVERED	TOPICS COVERED CHAPTER WISE
1	Mr. Prashant Pandey	Investment Banking	1	Introduction to Investment Banking
2	Ms. Shraddha Chavan	Business Communication-II	2 (chapter 2 & 3)	Interviews, Group discussion, Meetings
3	Mr. Mohini Nadkarni	Introduction to Wealth Management	1	Introduction to Wealth management Definition of Wealth management; understanding wealth management; wealth management process; phases in wealth management process; wealth management market in India Holistic Planning Framework Sources of wealth; human Capital; Financial Capital; Financial Life Cycle; Working Life – Pre-family independence; Family; Pre-retirement; Retirement – Active retirement; Passive Retirement; Elderly Care; Retirement related risk – risk identification; Market Risk, Asset Allocation Risk, Interest Rate Risk, Inflation Risk, Health/liquidity risk, Longevity Risk The Financial Planning process Establish and define the relationship with the client; Personal Fact Finding; Analyze Client's financial status, Risk profile and determine financial goals; Develop financial planning recommendation and present it to client; Implement client's financial planning recommendations; Monitor and review the client's situation Asset Classes Debt as an asset class; Role of debt in wealth management; risk of investing in debt securities; Equity as an asset class – investing in stocks
4	Mr. Vishwajeet Chaudhari	Introduction to Financial Market	1	overview of financial Market, structure of financial market, capital formation process through markets
5	Mr. Keval Kandu	Introduction to Financial Intermediaries	1 & 2	Basics of Financial Intermediaries (full module), Taxonomy of Financial Intermediaries (full module)
6	Mr. Satish Pandey	Introduction to Accounting - II	1 & 2	Investment Accounting w.r.t. AS 13, Foreign currency transactions vide AS 11.

			
Prof. Pankaj Jain	Prof. Durgesh Kenkre	Prof. Subhashini Naikar	Dr. Kiran Mane
(Coordinator)	(Exam convener)	(Vice- Principal, Degree SFC)	(I/c Principal)

DI/N-STD/GEN/00

Date : 04/02/2023