



Prahladrai Dalmia Lions College of Commerce & Economics

ISO 9001:2015

B.Com (Investment Management)

Syllabus for Class Test, (CIA), FEBRUARY, 2024

FYBIM - SEMESTER I

Date - 27/01/2024

Sr. No.	Name of the Course	Name of the Professor	Portion/ syllabus
1	Investment Banking	Ms. Shweta Gupta	Module 1- Introduction of Investment Banking
2	Business Communication-II	Ms. Sheetal Poojari	Module 1- Presentation Skills, Module 2 - Group Communication Interviews: Group Discussion Preparing for an Interview, Types of Interviews – Selection, Appraisal, Grievance, Exit Meetings: Need and Importance of Meetings, Conduct of Meeting and Group Dynamics Role of the Chairperson, Role of the Participants, Drafting of Notice, Agenda and Resolutions
3	Introduction to Wealth Management	Dr. Neha Chitlangiya	MODULE: 1 Definition of Wealth management; understanding wealth management; wealth management process; phases in wealth management process, Sources of wealth; human Capital; Financial Capital; Financial Life Cycle; Working Life – Pre-family independence; Family; Pre-retirement; Retirement – Active retirement; Passive Retirement; Elderly Care; Retirement related risk – risk identification; Market Risk, Asset Allocation Risk, Interest Rate Risk, Inflation Risk, Health/liquidity risk, Longevity Risk, Establish and define the relationship with the client; Personal Fact Finding; Analyze Client's financial status, Risk profile and determine financial goals; Develop financial planning recommendation and present it to client; Implement client's financial planning recommendations; Monitor and review the client's situation Asset Classes Debt as an asset class; Role of debt in wealth management; risk of investing in debt securities; Equity as an asset class – investing in stocks
4	Introduction to Financial Market	Mr. Saji Thomas	Module 1-An introduction to Financial Markets, Module 2-Capital Markets & Money Markets
5	Introduction to Financial Intermediaries	Mr. Subodh Nagwekar	Unit 1 - Basics of Financial Intermediaries
6	Introduction to Accounting - II	Mr. Pankaj Jain	Foreign Currency Transaction, Investment Accounting

Mr. Pankaj Jain	CA. Durgesh Kenkre	Ms. Subhashini Naikar	Prof. (Dr.) D. N. Ganjewar
(Coordinator)	(Exam Convener)	(Vice- Principal, SFC)	(Principal)