



**Prahladrai Dalmia Lions College of Commerce & Economics**  
**Sunder Nagar, S.V. Road, Malad (W), Mumbai-400 064.**





**"ISO 9001:2015 CERTIFIED"**  
**Syllabus for Class Test, (CIA), August 2023**

Date : 24/07/2023

**SYBIM - SEMESTER III**

SR. NO	NAME OF THE PROFESSOR	SUBJECT	MODULE COVERED	TOPICS COVERED CHAPTER WISE
1	Mr. Pankaj Jain	Financial Management -I	4	Dividend policy, Cost of capital and capital structure
2	Mr. Satish Pandey	Cost & Management Accounting	1	Basic terms used in cost accounting, Cost sheet and reconciliation of cost and financial accounts
3	Ms. Sheetal Poojari	Mutual Fund Management	1	<b>Introduction to Mutual Funds:</b> ( <b>Part A:</b> History and Origin, Definition, Meaning, Characteristics, Advantages, Disadvantages, Limitations of mutual funds ,Ethics in mutual fund entities involved --Sponsor, Trust , Trustee, Asset Management Compan, Registrar and Transfer Agent and Fund houses in India. <b>Part B:</b> Legal framework : Role of regulatory agencies for mutual funds--SEBI, RBI, AMFI, Ministry of Finance, SRO, Company Law Board department of company's affairs, Registrar of companies, MF guidelines on advertisement accounting, Taxation and Valuation norms Guidelines to purchase mutual funds, Investor Protection and MF regulation, Grievance mechanism in MF in India
4	Mr. Vishwajeet Chaudhari	Foundation Course -III	1 & 3	Security Contract Act – Important Terms, Objectives, Types of Contract, Stock Exchange Recognition, Refusal of Recognition, Listing and Delisting of Security, Byelaws, Functions, Appellate Authority, Cancellation, Fines and penalties. A) Fundamentals of Investments:- Meaning and definition, Need and importance of investment, Investment objectives, Elements of Investment, Investment avenues, Taxes and investments, Place of liquidity in investment decisions, Importance of investment management. B) Sources and Avenues of Investments: - Bank Products, Bonds
5	Mr. Ashish Shukla	SAPM-I	1	Portfolio Management - Introduction ( As per syllabus PDF, Unit 1 Full)

6	Mr. Gufran Qureshi	ITIM-I	1	<p><b>E-Commerce</b>  Ch 1: Introduction to Electronic Commerce - E-Commerce Framework, E-Commerce and media convergence, Anatomy of E-Commerce Applications, E-Commerce Consumer and Organization Applications.  Ch 2: The network Infrastructure for Electronic Commerce - Market forces influencing the I-way, Components of I-way, Network Access Equipment.  Ch 3: E-Commerce and World Wide Web - Architectural framework of E-Commerce, WWW and its architecture, hypertext publishing, Technology behind the web, Security and the Web.</p>
7	Mrs. Sangeeta Jain	Fundamentals of Capital Market	1	<p>A) Overview of Capital Market - Indian Capital Market, Authorities Governing Capital Markets in India, Profile of Securities Market, Securities Market Reforms and Regulatory Measures to Promote Investor Confidence, Features of Developed Capital Market: IOSCO, Overview of Depository System in India.  B) Capital Market Instruments and Rating- Capital Market Instruments: Equity, Debentures, Preference Shares, Sweat Equity, Non-Voting Shares, Share Warrants, Derivatives and Hybrid Instruments.  C) Rating and Grading of Instruments: Concept, Scope, Significance and Factors influencing Ratings of Instruments.</p>

			
<b>Prof. Pankaj Jain</b>	<b>Prof. Durgesh Kenkre</b>	<b>Prof. Subhashini Naikar</b>	<b>Prof. (Dr) Digambar N. Ganjewar</b>
<b>(Coordinator)</b>	<b>(Exam convener)</b>	<b>(Vice-Principal, SFC)</b>	<b>(Principal)</b>

DI/N-STD/GEN/00

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