



# PRAHLADRAI DALMIA LIONS COLLEGE OF COMMERCE & ECONOMICS

ISO 9001 : 2015 Certified

Date :30<sup>th</sup> August, 2021





## NOTICE

### B. COM (INVESTMENT MANAGEMENT)

ATKT Internal Examination Semester (II & IV) September, 2021

#### INSTRUCTIONS FOR THE STUDENTS HAVING ATKT IN INTERNALS:

1. Submission of the Projects -5<sup>th</sup> September, 2021 , till 6.00 PM
2. Date & Time of Viva Voce - 6<sup>th</sup> September, 2021 - Timings – 01:00 PM to 02.00 PM
3. Students are required to send Projects on mentioned E-mail Id [Bimsfdept@dalmialionscollege.ac.in](mailto:Bimsfdept@dalmialionscollege.ac.in) on the above said date and time. While emailing mention your Roll Number, Name, Subject of ATKT and Mobile number in Subject tab.
4. The project shall be submitted in the scanned **PDF format only** (not in image format).
5. Submission of projects or assignments to be done on proper A4 size paper or Full scape paper, **handwritten only**. Every page should contain details of Roll no, Seat No, Name of the student, Semester, Subject.
6. **Viva Voce is compulsory** to be attended by students or else the project submission will be invalid. If the student fails to submit the project on the given date and time, he/ she will be marked ABSENT for the said subject.
7. **Any Submissions after the above-mentioned date and time will not be accepted and entertained under any circumstance.**
8. List of students with the project topics is attached herewith.
9. Viva Voce will be conducted online via google meet. G Meet link shall be uploaded in WhatsApp group.

			
<b>Prof. Pankaj Jain</b>	<b>Prof. Durgesh Kenkre</b>	<b>Prof. Subhashini Naikar</b>	<b>Dr. Kiran Mane</b>
(Coordinator)	(Exam convener)	(Vice- Principal, SFC)	(I/c Principal)

DI/R-IPS/EXAM/00

## Internal Questions for Semester II ATKT students

### **Subject: Introduction to Wealth Management**

#### **Roll No. 121 Patel Aasiya Asif**

1. Explain the process of wealth management.
2. Explain various types of life insurance policies in detail.
3. Which are superannuation benefits for employees?
4. Under which conditions a will can be revoked or modified?
5. Risks and drawbacks of estate planning.

#### **Roll No. 145 Tiwari Shivam Santosh**

1. Explain role of executor and explain in detail the concept of revocation.
2. What is meant by estate planning? Explain various tools of estate planning.
3. Explain defined contributions plans with its limitations.
4. What is meant by policy riders? Explain various types of riders in detail.
5. Explain financial capital as a source of wealth.

#### **Roll No. 108 Gala Harsh Jignesh**

1. What is meant by asset class? Explain various asset classes in detail.
2. What is meant by policy riders? Explain various types of riders in detail.
3. What is a bonus? Discuss in detail different types of bonus.
4. Discuss need and purpose of retirement planning.
5. What is will? Explain different types of will?

#### **Roll No. 119 Jadhav Bhavesh Anil**

1. What is meant by estate planning? Explain various tools of estate planning.
2. Discuss need and purpose of retirement planning
3. Explain defined contributions plans with its limitations
4. Which are superannuation benefits for employees?
5. What is meant by policy riders? Explain various types of riders in detail.

### **Subject: Introduction to Accounting II**

#### **Roll No. 121 Patel Aasiya Asif**

1. X Ltd. makes an issue of 20,000 Equity Shares of Rs.10 each at Rs. 11 on 1st March payable as follows:

Rs. 2 on Application

Rs. 3 on allotment

Rs. 6 on First and Final Call (3 months after allotment)

Applications were received for 26,000 shares. The Directors made the allotment in full to the Application demanding 10 or more shares and returned money to the applicants for 6,000 shares.

One shareholder who was allotted 40 shares paid the first and final call money along-with allotment money and an another shareholder who was allotted 60 shares did not pay allotment interest money but paid along-with first and final call money. The Directors deeded to change and allow interest, as the case may be, on calls-.n-advance and calls-in-arrears.

2. On 1.1.1999, X Ltd. bought 100, 12% Govt. Bonds of Rs. 1,000 each at Rs. 940 each. On 1.8.1999, X Ltd. sold 50, 12% Debentures at Rs. 980 each. Interest is paid half-yearly i.e. on 30th June and 31st December, every year. Prepare 12% Govt. Bonds Account assuming that the market price for the same is Rs. 990 per bond.

3. Write a note on divisible profit

### **Roll No. 159 Shaikh Arshad Abdul**

**1. Glamour Limited invited applications for 15,000 shares of Rs. 10 each issued at Rs. 11.50 payable as follows:**

On application 1st July Rs. 7.50 per share

On allotment on 31st July Rs. 2.00 per share

On First and Final Call on 31st Aug. Rs. 2.00 per share

**Applications were received for 18,000 shares and it was decided to deal the same as follows in arrangement with Stock Exchange authorities:**

(a) To refuse allotment to applicants for 800 shares

(b) To give full allotment to applicants for 2,200 shares

(c) To allot the remaining shares pro-rata among other applicants

(d) To utilise the surplus received on application in part payment of amounts due on allotment. An applicant, to whom 40 shares were allotted, failed to pay the amount due on the First and final Call and his shares were forfeited on 31st Oct. These shares were reissued on 5th Nov. as fully paid at Rs. 9 per share. Give journal entries including those relating to cash to record the above transactions.

2. Jaipur Investments Ltd. holds 1,000 15% Debentures of Rs. 100 each in Udaipur Industries Ltd. as on 1st April 1999, at a cost of Rs. 1,05,000. Interest is payable on 30th June and 31st December every year. On 1st May 1999, 500 Debentures are purchased cum-interest at Rs. 53,500. On 1st November 1999, 600 Debentures are sold ex-interest at Rs. 57,300. On 30th November 1999, 400 Debentures are purchased ex- interest at Rs. 38,400. On 31st December 1999, 400 Debentures are sold cum-interest for Rs. 55,000.

3. Write a note on non-Divisible profit

### **Roll No. 119 Jadhav Bhavesh Anil**

1. A Company made an issue of 10,000 shares of Rs. 10 each, payable Rs. 3 on application; Rs. 4 on allotment and balance on call. 43,825 shares were applied for, including an application for 300 shares from a person who paid for the full face value of the shares. Owing to oversubscription, allotments were scaled down as follows:

Applicants for 11,825 shares (in respect of applications for 500 or less) received 5,750 shares (including the applicant for 300 shares who got 150 shares).

Applicants for 32,000 shares (in respect of applications for over 500 shares) received 4,250 shares.

The amounts received were first applied towards allotment and call moneys, after satisfying that amount due on application and any balance left was returned.

You are required to show the Cash Book and Ledger Accounts to record the above transactions.

2. on 1st March 1992, XY Corporation Ltd. purchased Rs. 30,000, 5% Government Stock at Rs. 95 cum-interest. On 1st May 1992, the company sold Rs. 10,000 of Stock at Rs. 97 cum-interest. On 15th December 1992, another Rs. 10,000 Stock was sold at Rs. 93 ex-interest. On 31st December 1992, the closing date of the financial year, the market price of the Stock was Rs. 92.

Half-yearly interest is received every year as on 30th June and 31st December.

Prepare investment Accounts

3. Write a note on NPO

### **Subject: Introduction to Financial Markets**

#### **Roll No. 121 Patel Aasiya Asif**

1. Capital formation process through markets
2. Role played by the capital market
3. Functions of the commodity market
4. Functions of the derivative market
5. SEBI

#### **Roll No. 115 Jha Shweta Jitendra**

1. Explain structure of financial markets.
2. Functions of Capital Markets
3. Commodity Market
3. Instruments of Capital Markets.
4. Functions of derivative market.

#### **Roll No .119 Jadhav Bhavesh Anil**

1. What is derivatives Market? What are its functions.
2. Explain Capital formation process through markets
3. Role of SEBI
4. Role played by Capital market.
5. Explain the structure of Financial system of India.

### **Subject: Business Communication II**

#### **Roll No. 121 Patel Aasiya Asif**

1. What do you understand by group discussion?
2. Need and importance of meeting
3. Write in brief about the role of chairperson
4. Types of interviews
5. Principles of effective presentation.

**Roll No. 145 Tiwari Shivam Santosh**

1. Explain in brief feasibility report.
2. Explain video conferencing in brief.
3. What is appraisal interview?
4. Function of PR department
5. Need and importance of meeting

**Roll No. 158 Kandare Rohit Devrao**

1. Write in brief about the role of chairperson
2. What is appraisal interview?
3. Explain tele-conferencing.
4. External measures of Public Relation.
5. Explain in brief feasibility report.

**Roll No. 159 Shaikh Arshad Abdul**

1. Teleconferencing
2. The AIDA formula
3. Appraisal interview
4. Do's and Don'ts of a group discussion
5. Different kinds of business report

**Roll No. 115 Jha Shweta Jitendra**

1. WASP model
2. Technical session of a conference
3. House organs
4. Fairs, exhibitions and shows
5. Valedictory function of a conference

**Roll No .119 Jadhav Bhavesh Anil**

1. News-letters / Press Handouts
2. Advice and counselling
3. Technical session of conference
4. Stages of group formation
5. Clinical interviews

## **Subject: Foundation Course II**

### **Roll No. 121 Patel Aasiya Asif**

1. Explain the concept of liberalization.
2. Explain the origin of Human Right.
3. Discuss the causes and effects of environment degradation.
4. Explain the importance of values for people.
5. Explain the ways to manage stress.

### **Roll No. 122 Patil Lynn Agnelo**

1. Explain the concept of Globalization in detail.
2. Explain the significance of Human Right.
3. Discuss the concept of sustainable development.
4. Explain Maslow hierarchy theory of needs.
5. Explain the ways to overcome conflicts.

### **Roll No. 139 Upadhay Saurabh Manoj**

1. Explain the concept of sustainable development.
2. State the reasons for Environmental degradation.
3. Explain the ways to overcome conflicts
4. Write a note on Liberalization
5. Explain Maslow hierarchy theory of needs.

### **Roll No. 108 Gala Harsh Jignesh**

1. Explain the origin of Human Right.
2. Explain the need of Human Right.
3. State the effects of Environment degradation.
4. Explain the ways to overcome the stress.
5. Explain the importance of values for people

## **Subject: Introduction to Financial Intermediaries**

### **Roll No. 121 Patel Aasiya Asif**

1. Explain the Need for financial intermediation in the Financial System
2. Write a Short note on Credit Unions.
3. Credit Rating Agencies – Role and Importance
4. Theories of Financial Intermediation - Liquidity insurance
5. SIDBI

### **Roll No .119 Jadhav Bhavesh Anil**

1. Explain the process of Registration of Financial Intermediaries
2. NABARD
3. Credit Rating Agencies – Role and Importance
4. Theories of Financial Intermediation - Transaction costs
5. Benefits of Financial Intermediaries

**Subject: Investment Banking**

**Roll No. 119 Jadhav Bhavesh Anil**

1. Explain types of asset classes.
2. Private placement methods.
3. Role of venture capital firms in raising funds.
4. Role of IPO in value unlocking.
5. ASBA.

**Roll No. 121 Patel Aasiya Asif**

1. What is Investment Banking?
2. Functions of venture capital firms in raising funds.
3. Importance of IPO in value unlocking.
4. Write a short note on ASBA
5. Explain the methods of Private Placement.

**BIM Internal questions for Semester IV ATKT students**

**Subject: Financial Management – II**

**Roll no: 260 Bharati Ranjeet Lalji**

**Questions:**

1. Explain types of working capital.
2. Calculate value of working capital required if Debtors = Rs. 15000, Stock = Rs. 15000, Cash Balance = Rs. 20000, Creditors = 10000.
3. Explain the importance of Cash Budget.
4. Explain types of Budget.
5. Explain all types of leverages.

**Subject: Direct Taxation**

**Roll no: 244 Shaikh Jawwad Abdul Latif**

1) Dr. Kartik is employed with KEM hospital as a full time doctor. Following are details of his income for the year ended 31<sup>st</sup> march, 2020:

1. basic salary Rs.12,000 per month
2. DA @ 30% of basic
3. HRA Rs.10,000 (exempt u/s 10(13A) Rs.4,500)
4. He is provided conveyance facility for journey from his residence to hospital and back costing the hospital Rs.10,000
5. Entertainment allowance Rs.500 pm
6. Arrears of salary Rs.6,000
7. Professional tax deducted by hospital Rs.2,400
8. Best doctor award given to him by KEM hospital Rs.2,500
9. He was selected the best surgeon by the state government and was awarded “ the Dhanvantari” award instituted in public interest Rs.10,000
10. He received honorarium for presenting paper in various seminars Rs.3,000
11. He owed surgical equipment which was given on hire to Dr.laxman Prasad. Hire charges received by him were Rs.30, 000. The expenses on maintenance amounted to Rs.2,500 and allowable depreciation Rs.4,500
12. He paid by cheque for medical insurance as follows-
  - a) For self- 12,670
  - b) For wife-12,670
  - c) Dependent children-12,670
  - d) Independent parents-12,670

Compute his taxable income for the AY 2020-21.







2) Mr Shyam a practicing CA gives you the following receipts and payment account for the year ended 31<sup>st</sup> March 2020.

RECEIPTS	RUPEES	PAYMENTS	RUPEES
To Balance B/F ( 1-4-2019)	9000	By Salary To Staff	80,000
To Professional Fees	1,50,000	By Printing And Stationary	5,500
To Gift From Father	15,000	By House Hold Expenses	22,400
To Present From A Client	15,000	By Conveyance Expenses	9,000
To Salary From College As A Lecturer	45,000	By General Expenses	20,000
To Loan From A Bank For Purchase Of Car	50,000	By Purchase Of Car In July 2019	1,00,000
		By Motor Expenses	20,000
		By Mediclaim Insurance Premium Paid By Cheque	6,500
		By Interest On Bank Loan For Car	5,000
		By Income Tax	8,500
		By Professional Tax	800
		By Balance C/F	6,300
	<u>2,84,000</u>		<u>2,84,000</u>

**Other information:**

- 1) Depreciation allowable on motor car as per income tax rule is 20%
  - 2) It is considered that 25% of the car expense is for personal use.
  - 3) Household expenses include Rs 1000 given as marriage gift to an employee.
  - 4) Salary includes Rs 12000 paid to the son who is commerce graduate (seems to be reasonable)
  - 5) General expenses include interest of Rs 10,500 on loan for higher education of his son.
- Compute the taxable income of Mr. Shyam for the assessment year 2020-21.
- 3) Mr. Desai, a citizen of USA has been staying in India since 1994. He leaves in India on 16<sup>th</sup> July 2019 on visit to USA and returns on 4<sup>th</sup> January 2020. Determine his residential status for the previous year 2019-2020?
  - 4) Discuss Any 8 Items Of Income Taxable Under The Heads Of Income From Other Sources?
  - 5) Discuss the Condition of Residential Status?

			
<b>Prof. Pankaj Jain</b>	<b>Prof. Durgesh Kenkre</b>	<b>Prof. Subhashini Naikar</b>	<b>Dr. Kiran Mane</b>
<b>(Coordinator)</b>	<b>(Exam convener)</b>	<b>(Vice- Principal, SFC)</b>	<b>(I/c Principal)</b>