



**PRAHLADRAI DALMIA LIONS COLLEGE  
OF COMMERCE & ECONOMICS**  
ISO 9001 : 2015 Certified

Date :20<sup>th</sup> August, 2022





**NOTICE**

**B. COM (INVESTMENT MANAGEMENT)**

**RE-EXAM ATKT ON SPECIAL GROUNDS SEMESTER II & III August, 2022**

**INSTRUCTIONS FOR THE STUDENTS HAVING ATKT IN INTERNALS:**

1. Date of Submission of the Projects- 24<sup>th</sup> August, 2022 at 1pm in Classroom T2.
2. Project/ assignment have to be handwritten on A4 size paper or Foolscap paper. On top of every page student should write his name, Seat No. and Subject.
3. Student is expected to write the question followed by the answer.
4. On the date of submission there will be viva voce on the given questions. .If the student does not submit his/her assignment/project or does not give his viva voce then he will be declared as **ABSENT**.
5. Any submission after the above mentioned date and time will not be accepted and entertained under any circumstance.

			
<b>Prof. Pankaj Jain</b>	<b>Prof. Durgesh Kenkre</b>	<b>Prof. Subhashini Naikar</b>	<b>Dr. Kiran Mane</b>
<b>(Coordinator)</b>	<b>(Exam convener)</b>	<b>(Vice- Principal, Degree SFC)</b>	<b>(I/c Principal)</b>

**DI/R-IPS/EXAM/00**

**Internal Questions for Semester III ATKT students**

**Roll No. 226 SAWANT AISHWARYA SUHAS**

**Subject: Fundamentals of Capital Markets**





1. Write a note on Capital Market Instruments and Rating.
2. Write a note on SEBI.
3. Write a note on Foreign Currency Exchangeable Bonds.
4. Write a note on Rating and Grading of Instruments.
5. Write a note on Introduction to Global Finances.

**Internal Questions for Semester II ATKT students**

**Roll No. 226 SAWANT AISHWARYA SUHAS**

**Subject: Introduction to Wealth Management**

1. Explain the process of wealth management.
2. Explain various types of life insurance policies in detail.
3. Explain role of executor and explain in detail the concept of revocation.
4. What is meant by estate planning? Explain various tools of estate planning.
5. Explain defined contributions plans with its limitations.

			
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**DI/R-IPS/EXAM/00**