



PRAHLADRAI DALMIA LIONS COLLEGE OF COMMERCE & ECONOMICS

ISO 9001 : 2015 Certified

Date : 22nd December, 2023





NOTICE

B. COM (INVESTMENT MANAGEMENT)

ATKT Internal Examination Semester V December, 2023

INSTRUCTIONS FOR THE STUDENTS HAVING ATKT IN INTERNALS:

1. Submission of the Projects, Date & Time of Viva Voce- **27th December, 2023 at 10.00 AM in T3 classroom.**
2. Submission of projects or assignments to be done on proper A4 size paper or Full scape paper, **handwritten only**. Every page should contain details of Roll no, Name of the student, Semester, Subject.
3. **Viva Voce is compulsory** to be attended by students or else the project submission will be invalid. If the student fails to submit the project on the given date and time, he/ she will be marked ABSENT for the said subject.
4. **Any Submissions after the above-mentioned date and time will not be accepted and entertained under any circumstance.**
5. List of students with the project topics is attached herewith.
6. Those students, who had **FILLED THE FORM & PAID THE FEES** and still have NOT been allocated questions in the following list, please send a mail along with attachment of fee receipt to bimscdept@dalmialionscollege.ac.in on or before 23rd December 2023 12.00 noon.

			
Mr. Pankaj Jain	CA. Durgesh Kenkre	Ms. Subhashini Naikar	Prof. (Dr.) D. N. Ganjewar
(Coordinator)	(Exam Convener)	(Vice- Principal, SFC)	(Principal)

Internal Questions for Semester V ATKT students

UPADHYAY SAURABH MANOJ

Subject: Merchant Banking - I

1. Write a note on Financial system in India and Development of Merchant Banks and Regulations in India.
2. Different roles played by Underwriters and Brokers in Issue Management and their responsibilities.
3. American Depository Receipts, Global Depository Receipts, FCCBs and FCEBs.
4. The general obligations of Intermediaries with respect to Public Issues and Rights Issue, The pricing in preferential issue.
5. The procedure for issue of Indian Depository Receipts (IDRs)

Subject: International Finance - I

1. Introduction to International Finance
2. Role of the International Money Market
3. Euro Dollar Markets
4. Political Risks.
5. The Challenge of International Finance

Subject: Research Methodology





1. Define research, give its meaning and objectives.
2. Explain hypothesis with reference to definition, nature and sources.
3. What are the different types of research reports?
4. Write a short note on experimental technique of data collection.
5. Explain the different types of research

Subject: Business Ethics

1. Explain definition, meaning, nature of Ethics.
2. Discuss ethical issues in finance.
3. Explain meaning and definition of CSR.
4. Discuss Ethics in the context of Global Economy.
5. Explain types of Ethics According to Functions of Business

Subject: Risk Management

1. Explain the risk process in detail.
2. Distinguish between Risk management and Risk measurement.
3. What is the importance and scope of risk governance?
4. What is the purpose and source of risk assurance?
5. Write short notes on: Reinsurance and banc assurance.

			
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