



**PRAHLADRAI DALMIA LIONS COLLEGE
OF COMMERCE & ECONOMICS
ISO 9001 : 2015 Certified**

Date: 06th Sept, 2023





NOTICE

B. COM (INVESTMENT MANAGEMENT)

ATKT Internal Examination Semester II September, 2023

INSTRUCTIONS FOR THE STUDENTS HAVING ATKT IN INTERNALS:

1. **Date of Submission of the Projects- 16th September, 2023.**
2. **Timings 11:00 am to 12:00 noon. Reporting time for students: at least 10 minutes before the mentioned time. Venue: Third floor staffroom.**
3. Students have to be present in person for the submission.
4. Internal project topics are also uploaded on the college website.
5. Submission of projects or assignments to be done on proper A4 size paper, handwritten by the candidate himself only. The Front page should contain details of Roll no, Name of the student, Semester, Subject.
6. Print out of the questions uploaded should be attached along with the project.
7. Student should also enclose a photocopy of the ATKT fee paid receipt along with each of his projects.
8. On the date of submission there will be a viva voce on the given questions/topics.
9. If the student fails to present himself on the given date and time he will be marked ABSENT for the said subject.
10. Any Submissions after the above mentioned date and time will not be accepted and entertained under any circumstances.

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| Prof. Pankaj Jain | Prof. Durgesh Kenkre | Prof. Subhashini Naikar | Prof. (Dr.) D. N. Ganjewar |
| (Coordinator) | (Exam convener) | (Vice- Principal, SFC) | (Principal) |

DI/R-IPS/EXAM/00

Internal Questions for Semester II ATKT students

Subject: Introduction to Wealth Management

Roll No. 137 YADAV RISHIKESH KAMLESH

1. Explain the process of wealth management.
2. Explain various types of life insurance policies in detail.
3. Which are superannuation benefits for employees?
4. Under which conditions a will can be revoked or modified?
5. Risks and drawbacks of estate planning.

Subject: Introduction to Accounting II

Roll No. 104 BILKHWAL DHRAVI DILIP

1. X Ltd. makes an issue of 20,000 Equity Shares of Rs.10 each at Rs. 11 on 1st March payable as follows:

Rs. 2 on Application

Rs. 3 on allotment

Rs. 6 on First and Final Call (3 months after allotment)

Applications were received for 26,000 shares. The Directors made the allotment in full to the Application demanding 10 or more shares and returned money to the applicants for 6,000 shares.

One shareholder who was allotted 40 shares paid the first and final call money along-with allotment money and an another shareholder who was allotted 60 shares did not pay allotment interest money but paid along-with first and final call money. The Directors deeded to change and allow interest, as the case may be, on calls-.n-advance and calls-in-arrears.

2. On 1.1.1999, X Ltd. bought 100, 12% Govt. Bonds of Rs. 1,000 each at Rs. 940 each. On 1.8.1999, X Ltd. sold 50, 12% Debentures at Rs. 980 each. Interest is paid half-yearly i.e. on 30th June and 31st December, every year. Prepare 12% Govt. Bonds Account assuming that the market price for the same is Rs. 990 per bond.

3. Write a note on divisible profit.

4. Write a note on Redemption of Shares.

Roll No. 112 IDRISH MOHD SHOEB AKHTAR

1. Glamour Limited invited applications for 15,000 shares of Rs. 10 each issued at Rs. 11.50 payable as follows:

On application 1st July Rs. 7.50 per share

On allotment on 31st July Rs. 2.00 per share

On First and Final Call on 31st Aug. Rs. 2.00 per share

Applications were received for 18,000 shares and it was decided to deal the same as follows in arrangement with Stock Exchange authorities:

(a) To refuse allotment to applicants for 800 shares

(b) To give full allotment to applicants for 2,200 shares

(c) To allot the remaining shares pro-rata among other applicants

(d) To utilise the surplus received on application in part payment of amounts due on allotment. An applicant, to whom 40 shares were allotted, failed to pay the amount due on the First and final Call and his shares were forfeited on 31st Oct. These shares were reissued on 5th Nov. as fully paid at Rs. 9 per share. Give journal entries including those relating to cash to record the above transactions.

2. Jaipur Investments Ltd. holds 1,000 15% Debentures of Rs. 100 each in Udaipur Industries Ltd. as on 1st April 1999, at a cost of Rs. 1,05,000. Interest is payable on 30th June and 31st December every year. On 1st May 1999, 500 Debentures are purchased cum-interest at Rs. 53,500. On 1st November 1999, 600 Debentures are sold ex-interest at Rs. 57,300. On 30th November 1999, 400 Debentures are purchased ex-interest at Rs. 38,400. On 31st December 1999, 400 Debentures are sold cum-interest for Rs. 55,000.

3. Write a note on non-Divisible profit.

4. Write a note on Investment Accounting.

Roll No. 114 JAIN JIYA JAGDISH

1. A Company made an issue of 10,000 shares of Rs. 10 each, payable Rs. 3 on application; Rs. 4 on allotment and balance on call. 43,825 shares were applied for, including an application for 300 shares from a person who paid for the full face value of the shares. Owing to oversubscription, allotments were scaled down as follows:

Applicants for 11,825 shares (in respect of applications for 500 or less) received 5,750 shares (including the applicant for 300 shares who got 150 shares).

Applicants for 32,000 shares (in respect of applications for over 500 shares) received 4,250 shares.

The amounts received were first applied towards allotment and call moneys, after satisfying that amount due on application and any balance left was returned.

You are required to show the Cash Book and Ledger Accounts to record the above transactions.

2. on 1st March 1992, XY Corporation Ltd. purchased Rs. 30,000, 5% Government Stock at Rs. 95 cum-interest. On 1st May 1992, the company sold Rs. 10,000 of Stock at Rs. 97 cum-interest. On 15th December 1992, another Rs. 10,000 Stock was sold at Rs. 93 ex-interest. On 31st December 1992, the closing date of the financial year, the market price of the Stock was Rs. 92.

Half-yearly interest is received every year as on 30th June and 31st December.

Prepare investment Accounts

3. Write a note on NPO.

4. Distinguish between Shares & Government securities

Roll No. 116 JAIN VANSHIKA ASHOK

1. Maruti Ltd. has 8,000, 14% Redeemable Preference Shares of Rs. 100 each, fully paid. The company decided to redeem these shares on December 31, 2021 at a premium of 10%.

The redemption was duly carried out. The company has sufficient balance in Profit & loss account.

Give Journal entries to record the above transactions.

2. Amron Ltd invited applications for 1,00,000 equity shares of Rs. 100 each at Rs. 140 per share. The amount per share was payable as under:

On Application: Rs. 30 On Allotment : Rs. 65(including premium) On First Call: Rs. 25

On Final Call: Rs. 20. You are required to journalise all the above transactions..

3. Distinguish between Ex-interest price & Cum interest price

4. Explain Specified donations and funds in NPO.

Roll No. 142 SOLANKI TUSHAR SHANTILAL

1. Sanket imported goods worth US \$ 50,000 from Selvo Corporation on 15-07-2022, when the exchange rate was US \$ 1 = ₹58. Sanket agreed to pay Selvo corporation in two equal instalments on 10-8-2022 and 12-10-2022. Exchange rates on settlement dates were as follows 10-8-2022 (₹59) and 12-10-2022 (₹56). Give journal entries in the books of Sanket. Books are closed on 31st March every year.
2. On 1/4/2022 Jigar had 1000 Equity Shares in Wipro Ltd. The face value of the shares was Rs 100 each but their book value was Rs 240. On 2/6 he purchased 2000 equity shares at Rs 160 each. On 1/7 directors issued bonus shares at a rate of one share for every three shares held. On 1/1 he purchased 500 right shares at Rs 150 each. On 31/1 he sold 3000 shares at Rs 300 per share. Show Investment A/c in books of Jigar for year ending 31st March 2023. .
3. Write a note on Bonus shares and Right Shares.
4. Write a detailed note on Foreign Exchange Fluctuation Account.

Subject: Foundation Course II

Roll No. 102 BENDKE VEDAN VINOD

1. Explain the concept of liberalization.
2. Explain the origin of Human Right.
3. Discuss the causes and effects of environment degradation.
4. Explain the importance of values for people.
5. Explain the ways to manage stress.

Subject: Introduction to Financial Intermediaries

Roll No. 107 CHAVAN KOMAL DEEPAK SHEETAL

1. Explain the Need for financial intermediation in the Financial System
2. Write a Short note on Credit Unions.
3. Credit Rating Agencies – Role and Importance
4. Theories of Financial Intermediation - Liquidity insurance
5. SIDBI

Roll No .115 JAIN SAMYAK RAVI

1. Explain the process of Registration of Financial Intermediaries
2. NABARD
3. Credit Rating Agencies – Role and Importance
4. Theories of Financial Intermediation - Transaction costs
5. Benefits of Financial Intermediaries

Roll No .116 JAIN VANSHIKA ASHOK

1. Explain 3 major groups of financial intermediaries.
2. What is Credit Union and explain its advantages
3. What is SIDBI and explain its major functions.
4. What is ALM and explain its scope
5. Write a note on CRISIL.

Roll No .132 SAYYED ARMAN ABDUL





1. Explain Commercial Bank. Explain its future aspects of commercial bank
2. Explain different types of risks
3. Explain various financial intermediaries and its challenges.
4. Write a note on Transaction Cost.
5. Write a note on NABARD

Roll No .142 SOLANKI TUSHAR SHANTILAL

1. Explain the implication of financial intermediaries effect on economic development.
2. Explain the concept of Banking and Non-Banking, Explain and 2 NBFCs in details.
3. Explain any 3 credit rating agencies
4. Explain the factors influencing interest rate risk
5. What is dematerialization and explain the procedure of dematerialization of securities.

NOTE - Students who has paid ATKT fees for internal component but has not been allotted questions is requested to contact Mr. Pankaj Jain on or before 12th September, 2023 by mailing on

bimscdept@dalmialionscollege.ac.in or pankaj.j@dalmialionscollege.ac.in

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