

Prahladrai Dalmia Lions College of Commerce & Economics <u>(Government Aided & Affiliated to University of Mumbai & AICTE, New Delhi)</u> <u>NAAC Re-accredited with 'A' Grade (III Cycle) ISO 21001:2018 Certified</u> <u>University of Mumbai Recognized Research Centre in Accountancy, Commerce & Business Economics</u> <u>A Government Approved Hindi Linguistic Minority Institute</u> <u>52 Years of Sterling Performance in Education</u>

Date: 22nd February, 2025

NOTICE

B. COM (Investment Management) ATKT Internal Examination Semester V February, 2025 INSTRUCTIONS FOR THE STUDENTS HAVING ATKT IN INTERNALS:

- 1. Submission of the Projects, Date & Time of Viva Voce- Saturday, 1st March, 2025 at 10:00 am in T3 classroom.
- 2. Students have to be present in person for the submission.
- 3. Internal project topics are also uploaded on the college website.
- 4. Submission of projects or assignments to be done on proper A4 size paper, handwritten by the candidate himself only. The Front page should contain details of Roll no, Name of the student, Semester, Subject.
- 5. Print out of the questions uploaded should be attached along with the project.
- 6. Student should also enclose a photocopy of the ATKT fee paid receipt along with each of his projects.
- 7. On the date of submission there will be a viva voce on the given questions/topics.
- 8. If the student fails to present himself on the given date and time he will be marked **ABSENT** for the said subject.
- 9. Any Submissions after the above mentioned date and time will not be accepted and entertained under any circumstances.

The assignments are to be submitted to the following teachers:

SUBJECT	TEACHER'S NAME
Corporate Accounting	Ms. Mansi Bafna
Merchant Banking	Ms. Sangeeta Jain
Business Ethics	Dr Sheetal Suvarna

MBahe	Ø	SNaitor	- Junior
Prof. Mansi Bafna	Prof. Durgesh Kenkre	Prof. Subhashini Naikar	Prof. (Dr.) D. N. Ganjewar
(Coordinator)	(Exam convener)	(Vice- Principal, SFC)	(Principal)

Internal Question for ATKT Internal Examination February,2025 TYBIM Semester V

Subject: Merchant Banking – I

TIWARI SHIVAM SANTOSH

- 1. The procedure for issue of Indian Depository Receipts (IDRs)
- 2. Write a note on Financial system in India and Development of Merchant Banks and Regulations in India.
- 3. American Depository Receipts, Global Depository Receipts, FCCBs and FCEBs.
- 4. The general obligations of Intermediaries with respect to Public Issues and Rights Issue, The pricing in preferential issue.
- 5. Different roles played by Underwriters and Brokers in Issue Management and their responsibilities.

Subject: Business Ethics

TIWARI SHIVAM SANTOSH:

- 1. Explain meaning and definition of CSR.
- 2. Explain definition, meaning, nature of Ethics
- 3. Discuss Ethics in the context of Global Economy.
- 4. Explain types of Ethics According to Functions of Business
- 5. Discuss ethical issues in finance.

SOLANKI TUSHAR SHANTILAL

- 1. What is Compliance?
- 2. Explain various types & forms of products.
- 3. Define term Stereotyping.
- 4. What is Data Piracy?
- 5. Mention Objective of Ethics.

PAWAR ANISHA AMAR

- 1. Highlight Ethical issues in Copywrites.
- 2. List down any 7P's of marketing.
- 3. Define the term Plagiarism.
- 4. Highlight main difference between Ethics & Law.
- 5. What is Business Ethics?

KALYANKAR RUHABH SANTOSH

- 1. What is three C's of ethics?
- 2. What is Copyright?
- 3. Name 11 components of marketing mix.
- 4. Define Normative Ethics.
- 5. What is Marketing Research?

Subject: Corporate Accounting

TIWARI SHIVAM SANTOSH

- 1. Meaning of Company, Requirement of Accounting for Companies, Procedures of Accounting for Companies.
- 2. Format of Financial Statement of a Company as per Companies Act, 2013.
- 3. On 1.1.2011, X Ltd. bought 100, 12% Govt. Bonds of Rs. 1,000 each at Rs. 940 each. On 1.8.2011, X Ltd. sold 50, 12% Debentures at Rs. 980 each. Interest is paid half-Yearly i.e. on 30th June and 31st December, every year. Prepare 12% Govt. Bonds Account assuming that the market price for the same is Rs. 990 per bond.
- 4. Explain types of shares in detail.
- 5. Valuation of Shares in case of Merger and Acquisition of Shares, Intrinsic value of Shares.

SOLANKI TUSHAR SHANTILAL

- On 1/4/2021 Sanket had 10000 Equity Shares in Tata Ltd. The face value of the shares was Rs 10 each but their book value was Rs 24. On 2/6 he purchased 20000 equity shares at Rs 16 each. On 1/7 directors issued bonus shares at a rate of one share for every three shares Held. On 1/1 he purchased 5000 right shares at Rs 15 each. On 31/1 he sold 30000 shares at Rs 30 per share. Show Investment A/c in books of Sanket for year ending 31st March 2022.
- 2. Explain intrinsic value of shares and fair value of shares.
- 3. Discuss use of Accounting Standards in Corporate Accounting.
- 4. Explain recent trends in Corporate Accounting.
- 5. Write a note on Accounting for Bonus Shares and Right shares in Shares Investment Account.

PAWAR ANISHA AMAR

- On 15th March AB Ltd. purchased Rs. 1,00,000, 9% Govt. Stock (interest payable Yearly at 12% cum-interest. On 1st August Rs. 20,000 stock is sold at 89 cuminterest and on 1st September Rs. 30,000 stock is sold at Rs.110 Ex-interest. On 31st December, the date of the Balance Sheet, the market price was Rs. 90. Prepare an investment account. Face value of Govt. Stock was Rs. 100.
- From the following information, prepare a Balance sheet as per Companies Act. Equity Share Capital Rs.200000, 12% Debentures Rs.500000, General Reserve Rs.139000, Patent Rs.200000, Freehold Land Rs.239000, Preliminary expenses not

written off Rs. 160000, Stock Rs.190000, Creditors Rs. 50000, Cash Rs. 100000.

- 3. Explain in detail Simple profit method.
- 4. Explain importance of Corporate Accounting.
- 5. Aman Ltd invited applications for 2,00,000 equity shares of Rs. 100 each at Rs. 120 per share. The amount per share was payable as under:

On Application: Rs. 30 On Allotment : Rs. 45(including premium) On First Call: Rs. 25 On Final Call: Rs. 20. You are required to journalise all the above transactions.

KALYANKAR RUHABH SANTOSH

- On 1/4/2021 Vishwajeet had 12,000 Equity Shares in Adani Ltd. The face value of the shares was Rs 20 each but their book value was Rs 25. On 10/06/2021 he purchased 10,000 equity shares at Rs 26 each. On 15/8/2021 directors issued bonus shares at a rate of one share for every three shares held. On 31/1/2022 he sold 15,000 shares at Rs 30 per share. Show Investment A/c in books of Vishwajeet for the year ending 31st March 2022.
- 2. Explain in detail Super profit method.
- 3. Discuss issue of debenture in details.
- 4. Anant Ltd invited applications for 50,000 equity shares of Rs. 10 each at Rs. 12 per share. The amount per share was payable as under:

On Application: Rs. 3 On Allotment : Rs. 4.5(including premium) On First Call: Rs. 2.5 On Final Call: Rs. 2. You are required to journalise all the above transactions.

5. Explain in detail Proposed Dividend, Unclaimed Dividend, Provision for Tax and Advance Tax.

MBahe	Ør	Shaitar	- June
Prof. Mansi Bafna	Prof. Durgesh Kenkre	Prof. Subhashini Naikar	Prof. (Dr.) D. N. Ganjewar
(Coordinator)	(Exam convener)	(Vice- Principal, SFC)	(Principal)

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