

## Prahladrai Dalmia Lions College of Commerce & Economics (Government Aided & Affiliated to University of Mumbai & AICTE, New Delhi) NAAC Re-accredited with 'A' Grade (III Cycle) ISO 21001:2018 Certified of Mumbai Recognized Research Centre in Accountancy, Commerce & Business Econom

University of Mumbai Recognized Research Centre in Accountancy, Commerce & Business Economics

A Government Approved Hindi Linguistic Minority Institute

52 Years of Sterling Performance in Education

#### **NOTICE**

# B. COM (Investment Management) ATKT Internal Examination Semester III – September 2025 Non NEP INSTRUCTIONS FOR THE STUDENTS HAVING ATKT IN INTERNALS:

- 1. Submission of the Projects, Date & Time of Viva Voce- Saturday 6<sup>th</sup> September 2025 at 11:00 am in T3 classroom.
- 2. Students have to be present in person for the submission.
- 3. Internal project topics are also uploaded on the college website.
- 4. Submission of projects or assignments to be done on proper A4 size paper, handwritten by the candidate himself only. The Front page should contain details of Roll no, Name of the student, Semester, Subject.
- 5. Print out of the questions uploaded should be attached along with the project.
- 6. Student should also enclose a photocopy of the ATKT fee paid receipt along with each of his projects.
- 7. On the date of submission there will be a viva voce on the given questions/topics.
- 8. If the student fails to present himself on the given date and time he will be marked **ABSENT** for the said subject.
- 9. Any Submissions after the above mentioned date and time will not be accepted and entertained under any circumstances.

The assignments are to be submitted to the following teachers:

SUBJECT	TEACHER'S NAME
Fundamentals of Capital Markets	Ms. Namrata M

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Prof. Mansi Bafna	Prof. Durgesh Kenkre	Prof. Subhashini Naikar	Prof. (Dr.) D. N. Ganjewar
(Coordinator)	(Exam convener)	(Vice- Principal, SFC)	(Principal)

DI/R-IPS/EXAM/00

### **SUBJECT: FUNDAMENTALS OF CAPITAL MARKETS**

### 302 PAWAN MANOJ BANE

- 1) Explain the functions of Stock Exchange.
- 2) What is the procedure for launching of schemes in the equity market?
- 3) What is Collective Investment Scheme? Explain any 5 features of CIS.
- 4) What are derivatives? Explain different types of derivatives.
- 5) Explain the procedure where registration is not granted for Collective Investment Scheme.

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