



**Date: 25th February, 2025**

**NOTICE**





**B. COM (Investment Management)**  
**ATKT Internal Examination Semester II - March, 2025**

**INSTRUCTIONS FOR THE STUDENTS HAVING ATKT IN INTERNALS:**

1. Submission of the Projects, Date & Time of Viva Voce- **Wednesday, 19<sup>th</sup> March, 2025 at 12:00 noon in T3 classroom.**
2. Students have to be present in person for the submission.
3. Internal project topics are also uploaded on the college website.
4. Submission of projects or assignments to be done on proper A4 size paper, handwritten by the candidate himself only. The Front page should contain details of Roll no, Name of the student, Semester, Subject.
5. Print out of the questions uploaded should be attached along with the project.
6. Student should also enclose a photocopy of the ATKT fee paid receipt along with each of his projects.
7. On the date of submission there will be a viva voce on the given questions/topics.
8. If the student fails to present himself on the given date and time he will be marked **ABSENT** for the said subject.
9. Any Submissions after the above mentioned date and time will not be accepted and entertained under any circumstances.

**The assignments are to be submitted to the following teachers:**

SUBJECT	TEACHER'S NAME
Introduction To Wealth Management	Mansi Bafna
Introduction To Accounting -II	Mansi Bafna
Introduction To Financial Market	Mansi Bafna
Business Communication -II	Dr. Sheetal Suvarna Poojari
Foundation Course - II	Dr. Sheetal Suvarna Poojari
Introduction Financial Intermediaries	Dr. Sheetal Suvarna Poojari
Investment Banking	Mansi Bafna

			
<b>Prof. Mansi Bafna</b>	<b>Prof. Durgesh Kenkre</b>	<b>Prof. Subhashini Naikar</b>	<b>Prof. (Dr.) D. N. Ganjewar</b>
<b>(Coordinator)</b>	<b>(Exam convener)</b>	<b>(Vice- Principal, SFC)</b>	<b>(Principal)</b>

## **Subject: INTRODUCTION TO WEALTH MANAGEMENT**

### **121 JOSHI MEET DEEPAK**

- 1) Define wealth management. Explain the process of wealth management.
- 2) Write a note on state of wealth management market in India.
- 3) Write a note on stages of wealth management process
- 4) What do you mean by Trust. Discuss its various types.
- 5) Discuss the various schemes with respect to superannuation.

## **Subject: INTRODUCTION TO ACCOUNTING –II**

### **121 JOSHI MEET DEEPAK**

- 1) Explain various types of debentures.
- 2) Explain the income expenditure account.
- 3) What are the types of preference shares
- 4) On 1.1.2023 Vibhuti had 20,000 Equity shares in X Ltd. Face value of the shares was Rs. 10 each but their book value was Rs. 16 per share. On 1.6.2023, Vibhuti purchased 5,000 more Equity shares in the company at a price of Rs.14 per share. On 30.6.1994, the Directors of X Ltd. Announced a Bonus and Right issue. Bonus was declared at the rate of one Equity share for every five shares held and these shares were received on 02.08.2023. Rights issue would entitle the holders to subscribe to additional Equity shares in the company at the rate of one share per every three held at Rs. 15 per share — the whole sum being payable by 30.9.2023. Dividends for the year at the rate of 15% were declared by the company and received by Vibhuti on 20.10.2023. On 1.11.2023, Vibhuti sold 20,000 Equity shares at Rs.20 per share. Show the Investment Account as on 31.12.2023
- 5) SVS Investment Company hold 1,000, 15% debentures of Rs.100 each in Beta Industries Ltd. as on April 1, 2009 at a cost of Rs. 1,05,000. Interest is payable on June, 30 and December, 31 each year. On May 1, 2009. 500 debentures are purchased cum-interest at Rs. 53,500. On November 1, 2009 600 debentures are sold ex-interest at Rs. 57,300. On November 30, 2009, 400 debentures are purchased ex-interest at Rs.38,400. On December 31 2009, 400 debentures are sold ex-interest for Rs. 55,000.

### **122 KADAM SARTHAK VISHWAS**

- 1) 1. X Ltd. makes an issue of 20,000 Equity Shares of Rs.10 each at Rs. 11 on 1st March payable as follows:  
Rs. 2 on Application  
Rs. 3 on allotment  
Rs. 6 on First and Final Call (3 months after allotment)  
Applications were received for 26,000 shares. The Directors made the allotment in full to the Application demanding 10 or more shares and returned money to the applicants for 6,000 shares. One shareholder who was allotted 40 shares paid the first and final call money along-with allotment money and an another shareholder who was allotted 60 shares did not pay allotment interest money but paid along-with first and final call money. The Directors deeded to change and allow interest, as the case may be, on calls-n-advance and calls-in-arrears.
- 2) 2. On 1.1.1999, X Ltd. bought 100, 12% Govt. Bonds of Rs. 1,000 each at Rs. 940 each. On 1.8.1999, X Ltd. sold 50, 12% Debentures at Rs. 980 each. Interest is paid half-yearly i.e. on 30th

June and 31st December, every year. Prepare 12% Govt. Bonds Account assuming that the market price for the same is Rs. 990 per bond.

- 3) Write a note on divisible profit.
- 4) Write a note on Redemption of Shares.
- 5) Write a note on NPO.

#### **144 THAKUR SURUCHI SUNIL**

1. A Company made an issue of 10,000 shares of Rs. 10 each, payable Rs. 3 on application; Rs. 4 on allotment and balance on call. 43,825 shares were applied for, including an application for 300 shares from a person who paid for the full face value of the shares. Owing to oversubscription, allotments were scaled down as follows:

Applicants for 11,825 shares (in respect of applications for 500 or less) received 5,750 shares (including the applicant for 300 shares who got 150 shares).

Applicants for 32,000 shares (in respect of applications for over 500 shares) received 4,250 shares.

The amounts received were first applied towards allotment and call moneys, after satisfying that amount due on application and any balance left was returned.

You are required to show the Cash Book and Ledger Accounts to record the above transactions.

2) on 1st March 1992, XY Corporation Ltd. purchased Rs. 30,000, 5% Government Stock at Rs. 95 cum-interest. On 1st May 1992, the company sold Rs. 10,000 of Stock at Rs. 97 cum-interest. On 15th December 1992, another Rs. 10,000 Stock was sold at Rs. 93 ex-interest. On 31st December 1992, the closing date of the financial year, the market price of the Stock was Rs. 92.

Half-yearly interest is received every year as on 30th June and 31st December.

- 1) Prepare investment Accounts
- 3) Distinguish between Shares & Government securities
- 4) Accounting for Non-Profit Organisation.
- 5) Distinguish between Ex-interest price & Cum interest price

#### **Subject: INTRODUCTION TO FINANCIAL MARKET**

##### **121 JOSHI MEET DEEPAK**

- 1) Which are the various participants involved in foreign exchange market?
- 2) Explain the role of SEBI in Investor's Protection.
- 3) Differentiate between Currency Forwards and Currency Futures.
- 4) Elaborate the terms Call Option and Put Option.
- 5) List the classification of the various commodities traded on an exchange.

#### **Subject: BUSINESS COMMUNICATION –II**

##### **121 JOSHI MEET DEEPAK**

- 1) What is an Interview? Explain the different Types of Interviews.
- 2) Draft an investigative business report that studied the causes for a huge number of staff members leaving jobs from the past 6 months.
- 3) Draft a Notice & Agenda for the annual general meeting of SKM Sports Club
- 4) Draft a sales letter promoting "RICHA" –Ayurvedic moisturizing cream
- 5) Explain what Statutory report and Non- Statutory report.

**Subject: FOUNDATION COURSE – II**

**144 THAKUR SURUCHI SUNIL**

- 1) What are various groups of fundamental rights.
- 2) Describe meaning of Cognitive needs & Aesthetic needs
- 3) What are main dimensions of ‘Poverty & Environment connectivity’.
- 4) Mention measures implemented for resolving Aggression & Violence in society.
- 5) What are the various types of Migration?

**Subject: INTRODUCTION FINANCIAL INTERMEDIARIES**





**121 JOSHI MEET DEEPAK**

- 1) Explain the implications of Financial Intermediation, effect on Economic development.
- 2) What are the role & importance of Credit Rating?
- 3) Explain what are the open-ended INVESTMENT schemes in Mutual Funds?
- 4) Discuss the major effects of Asymmetric Information in brief.
- 5) What is NABARD & what are its objectives?

**Subject: INVESTMENT BANKING**

**121 JOSHI MEET DEEPAK**

- 1) Explain the factors influencing investment decisions.
- 2) Explain SEBI listing obligations and disclosure requirements.
- 3) Define Venture capital. And role of Venture Capital in fuelling the Growth of Indian Start-ups.
- 4) Explain the factors responsible for deciding any investment.
- 5) Elaborate in detail about Investment banking trends in 2024

			
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**DI/R-IPS/EXAM/00**