



PRAHLADRAI DALMIA LIONS COLLEGE OF
COMMERCE & ECONOMICS
ISO 9001 : 2015 Certified

NOTICE

ATKT Internal Examination October, 2023,
B. COM (BANKING & INSURANCE) SEMESTER VI

INSTRUCTIONS FOR THE STUDENTS HAVING ATKT IN INTERNALS:

- 1. Date of Submission of the Projects and viva voce- 6th November, 2023. Venue 3rd floor staff room 11.00 am.**
2. Reporting time for students 10 minutes before the above mentioned time.
3. Students have to be present in person for the submission.
4. Submission of projects or assignments to be done on proper A4 size paper, handwritten by the candidate himself only. The Front page should contain details of Roll no, Name of the student, Semester, Subject.
5. Print out of the questions uploaded should be attached along with the project.
6. Student should also enclose a photocopy of the ATKT fee paid receipt along with his project.
7. On the date of submission there will be a viva voce on the given questions/topics.
8. If the student fails to present himself on the given date and time he will be marked ABSENT for the said subject.
- 9. Any Submissions after the above mentioned date and time will not be accepted and entertained under any circumstances.**

Kindly follow the following schedule for your project submission and viva:

SUBJECT	FACULTY
CENTRAL BANKING	Ms. Aakanksha Mangavkar
SEC. ANALYSIS & PORT MAGMT	Mr. Rahul Yadav
AUDITING II	Ms. Sailee Shringarpure
HUMAN RESOURCE MANAGEMENT	Mr. Nirav Tawadia
TURNAROUND MANAGEMENT	Ms. Sneha Hathi

Prof. Durgesh Kenkre
Coordinator

DATE -13th October, 2023.

DI/N-STD/GEN/00

Prof. Subhashini Naikar
Vice Principal, SFC

Prof. (Dr.) D. N. Ganjewar
Principal

TYBBI
INTERNAL ATKT QUESTIONS SEMESTER VI

CENTRAL BANKING

CHINDELIYA AMAN BALWAN

- a) Explain the important functions of the central bank.
- b) Explain the important provisions of Banking Regulation Act related to Licensing for banking operations, inspection and supervision and control over advances.
- c) Explain OSMOS (definition- meaning- advantages to central banks and other banks)
- d) write a note on E money.

UDAYAR TARUN DASHNAMURTHI

- a) What are the causes of the changing face of central banking?
- b) What are the traditional functions of central banks?
- c) Factors on which success of inflation depends
- d) Explain the factors limiting the autonomy of central banks.

TEMKAR VIGHNESH VIJAY

- a) Comment on the autonomy of RBI.
- b) Explain the credibility, accountability and transparency of central banks.
- c) Important departments of RBI.
- d) Write a note on Monetary policy committee.

GUPTA SACHIN RAMLALIT

- a) Explain the role of RBI as a central banker.
- b) Explain the regulatory role of RBI
- c) What are the objectives of monetary policy?
- d) Write a note on inflation targeting.

MAHADIK VANITA RAJU

- a) Explain the important instruments of macro economic policy.
- b) Explain the various instruments used by the Central bank.
- c) Explain monetary policy and fiscal policy.
- d) Write a note on fiscal policy during inflation and deflation.

KAMKALI VAISHALI KARAN

- a) Write a note on limitations of fiscal policy.
- b) How does RBI strike a balance between inflation and growth through monetary and fiscal policy?
- c) Write a note on use of ICT in banking sector.
- d) What are the challenges faced by banking sector?

GUPTA MANISHA SANJAY

- a) List the functions of department of banking supervision.
- b) List the essential features of Regulations review authority.
- c) Unified regulator vs multiple regulator
- d) List the core principles for effective supervision.

SINGH RAVISHANKAR SHOBHNATH

- a) List the 13 principles of supervision for supervisors
- b) Write a note on on-site examination.
- c) Write a note on off-site monitoring in different countries.
- d) Write a note on CAMELS rating model.

PARAB YASH DATTARAM

- a) Define financial system. Give its meaning. Explain the structure of financial system.
- b) Explain the functions of financial system.
- c) Write a note on Commercial bill market.
- d) What are the recent developments in monetary management.

DAMANIYA JARNA HITESH

- a) Write a note on Treasury bill market.
- b) Write a note on Repo market and Minimum margin requirements.
- c) Write a note on Narasimham committee
- d) What are the capital market reforms?

BHAMRA AVLINKAUR AVTARSINGH

- a) Write a note on structure of capital market in India.
- b) Write the functions of federal reserve system
- c) Write a note on The European Central Banking
- d) What are the objectives of IMF?

SONAR UMANG JAGANATH

- a) Write a note on Asian development bank.
- b) Write a note on International bank for reconstruction and development
- c) Explain functions of Bank for International Settlements.
- d) Write a note on IT induced globalisation and central banking.

SECURITY ANALYSIS & PORTFOLIO MANAGEMENT

1) MAHADIK VANITA RAJU

- a) Define investment and explain its objectives.
- b) Distinguish between investment and gambling
- c) Define, explain and give the need for portfolio revision.
- d) What do you mean by portfolio evaluation? (Give its meaning and need)

2) KAMKALI VAISHALI KARAN

- a) Explain the characteristics of investment.
- b) Distinguish between speculation and gambling.
- c) What are the constraints in portfolio revision?
- d) Explain the Treynor Measure.

3) JHA ROSHAN SANJEEV

- a) Explain the scope of investment.
- b) List the advantages of portfolio management.
- c) Explain the Sharpe measure.
- d) List characteristics of bond.

4) SINGH RAVISHANKAR SHOBHNATH

- a) Explain the factors conducive for investment in India
- b) Explain the phases of portfolio management.
- c) Write a note on multi index model.
- d) How to measure bond return?

5) PARAB YASH DATTARAM

- a) Explain the different types of investors.
- b) What is the role of portfolio management?
- c) What are the components of portfolio analysis?
- d) Write a note on bond risk.

6) DAMANIYA JARNA HITESH

- a) Distinguish between investment and speculation.
- b) Write a note on Markowitz model
- c) What are the measures of economic activity?
- d) Distinguish between operating leverage and financial leverage

AUDITING

UDAYAR TARUN DASHNAMURTHI

- 1) What are the qualifications to be an auditor of a limited company?
- 2) Write a note on audit in EDP environment.
- 3) Explain representations by management in respect of limited companies.
- 4) Explain different types of opinions.

SHAIKH MOHAMMAD AJMAL

- 1) What are the grounds for disqualifications for an auditor of a limited company?
- 2) Explain General Approach to EDP Based Audit
- 3) How will you verify interest on advances in respect of banking companies?
- 4) Write a note on social audit.

MAHADIK VANITA RAJU

- 1) What are the provisions relating to appointment and removal of a auditor of a limited company?
- 2) What are the Special Techniques for Auditing in an EDP Environment?
- 3) What do you understand by professional ethics?
- 4) How is professional ethics and professional misconduct related?

KAMKALI VAISHALI KARAN

- 1) List the provisions relating to remuneration of an auditor of a limited company.
- 2) Explain in detail cost audit.
- 3) What are the special techniques for auditing in EDP environment?
- 4) Write a note on forensic audit.

GUPTA MANISHA SANJAY

- 1) List the powers of an auditor of a limited company.
- 2) What are the grounds for disqualifications for an auditor of a banking company?
- 3) List the books and records to be maintained by General insurance companies.
- 4) List the format of audit report of a banking company.

JHA ROSHAN SANJEEV

- 1) List the duties of an auditor of a limited company.
- 2) What are the qualifications to be an auditor of a banking company?
- 3) List the books and records to be maintained by Life insurance companies.
- 4) What are the various internal control systems needed for a banking company?

CHITURI SNEHA SHYAM

- 1) List the liabilities of an auditor of a limited company.
- 2) What are the grounds for disqualifications for an auditor of a banking company?
- 3) How will you verify fixed assets of a banking company?
- 4) How will you verify cash in hand of a banking company?

SINGH RAVISHANKAR SHOBHNATH

- 1) Write short notes on joint audit and special audit.
- 2) What are the provisions relating to appointment and removal of a auditor of a banking company?
- 3) What are the qualifications needed to be an auditor of a banking company and insurance company?
- 4) Write a note on Audit of human resources.

PARAB YASH DATTARAM

- 1) Write short notes on related party disclosures and segment reporting.
- 2) What are the provisions relating to remuneration of an auditor of a banking company?
- 3) What are the important points in audit report of a banking company?
- 4) What are the provisions relating to professional misconduct?

DAMANIYA JARNA HITESH

- 1) What are the contents of an audit report of a banking company?
- 2) Explain the internal control system in banking companies.
- 3) Explain the form and content of financial statements of a banking company?
- 4) What are the provisions of depreciation as per the provisions of the Companies Act 2013?

PATEL DIYA HANSRAJ

- 1) List and explain the different types of audit report.
- 2) How will you verify the fixed assets of a banking company?
- 3) Discuss the provisions of appointment in case of First auditors of company
- 4) Explain any five duties of a company auditor in detail

TEMKAR VIGHNESH VIJAY

- 1) Distinguish between report and certificate.
- 2) Write a note on audit report on banking company.
- 3) Discuss the Civil Liability for negligence?
- 4) Write a note on privity of contract.

GUPTA SACHIN RAMLALIT

- 1) Write short notes on green audit and cost audit.
- 2) Write a note on Long Form Audit Report
- 3) Explain the liabilities of auditor under misfeasance in companies act 2013
- 4) What do you understand by joint audit and joint auditors?

BODI BIMESH BASAPPA

- 1) Write short notes on HR audit and management audit.
- 2) How will you audit the assets of general insurance companies?
- 3) Write a note on segment reporting and divisible profits.
- 4) Write a note on EDP audit

YADAV RISHU RAJKUMAR

- 1) Explain operational audit and social audit.
- 2) How will you audit assets of life insurance companies?
- 3) What do you understand by financial statements?
- 4) What are the rights and duties of an auditor of a banking company?

BHAMRA AVLINKAUR AVTARSINGH

- 1) Explain forecast audit and forensic audit
- 2) Explain the provision of AS 3 to insurance companies.
- 3) Discuss the Civil Liability for negligence
- 4) What are the various liabilities of an auditor under banking company?

SONAR UMANG JAGANATH

- 1) Write a detailed note on environmental audit.
- 2) Explain the provision of AS 4 to insurance companies.
- 3) Explain appointment of auditor by Government and appointment in case of special cases.
- 4) Write a note on cost audit.

HUMAN RESOURCE MANAGEMENT

UDAYAR TARUN DASHNAMURTHI

- a) Explain the nature of HRM
- b) Explain internal and external recruitment procedure.
- c) Explain the nature and process of training and development.
- d) Give the advantages and disadvantages of performance appraisal.

KAMKALI VAISHALI KARAN

- a) Explain the scope of HRM
- b) Write a note on the selection procedure.
- c) Explain on the job and off the job training.
- d) Define and explain performance appraisal.

JHA ROSHAN SANJEEV

- a) List the functions and objectives of HRM
- b) What do you mean by compensation? Give its objectives.
- c) Write a note on participative management.
- d) Explain non-monetary benefits.

SINGH RAVISHANKAR SHOBHNATH

- a) List the HRM policies and practices
- b) List the factors affecting job design.
- c) Explain the strategic approach to recruitment.
- d) Write a note on promotions and transfers.

DAMANIYA JARNA HITESH

- a) Role and functions of HR manager in banking and insurance
- b) Define HR planning and give its objectives.
- c) Write the merits and demerits of appraisal.
- d) What are the current trends in compensation?

PATEL DIYA HANSRAJ

- a) Write a note on job analysis and job design
- b) What is the need for HR planning?
- c) Write a note on VRS.
- d) Write a note on participative management.

BHAMRA AVLINKAUR AVTARSINGH

- a) Write a note on job evaluation.
- b) What are the factors that affect job design?
- c) Write a note on perquisites and allowances.
- d) Write a note on various pension schemes.

SONAR UMANG JAGANATH

- a) What are the characteristics of job design?
- b) Explain promotions and transfers.
- c) Explain the linkages of organisational and HR strategies.
- d) What are the competencies based job analysis?

TURNAROUND MANAGEMENT

CHINDELIYA AMAN BALWAN

- a) Define and explain the term business.
- b) Write a note on reengineering team.
- c) Give an example of turnaround in business.
- d) Role of entrepreneur in preventing the sick unit

UDAYAR TARUN DASHNAMURTHI

- a) Explain the features of business organisation.
- b) Write a note on BPR leader
- c) Give an example of turnaround in banking sector.
- d) Explain the external business environment.

SHAIKH MOHAMMAD AJMAL

- a) Explain the different types of business organisation
- b) Write a note on process owner.
- c) Give an example of turnaround in insurance sector.
- d) Explain the Role of entrepreneur in preventing the sick unit

MAHADIK VANITA RAJU

- a) Explain the internal strategies for growth and survival of a business.
- b) Explain the kaizen flow chart
- c) "Turnaround management is a skill", explain
- d) What are the different goals of business?

KAMKALI VAISHALI KARAN

- a) Explain the external strategies for growth and survival of a business.
- b) Explain the 5s principles of BPR
- c) What are the different types of styles of decision making in the turnaround process?
- d) Explain sick unit for an investor and a banker.

GUPTA MANISHA SANJAY

- a) Explain the survival strategies for growth and survival of a business.
- b) Write a note on process mapping
- c) Name any 5 internal reasons that lead to sickness
- d) What is disinvestment? Give examples.

JHA ROSHAN SANJEEV

- a) Define and explain the term industrial sickness
- b) Give the features of outsourcing.
- c) Explain the different types of diversification.
- d) Write a note on TQM

CHITURI SNEHA SHYAM

- a) List the internal reasons for industrial sickness
- b) Give the features of networking.
- c) Explain the important goals of business
- d) Bring out the role of BIFR in sick industries.

SINGH RAVISHANKAR SHOBHNATH

- a) List the external reasons for industrial sickness
- b) Write a note on features of franchising.
- c) Explain the stages of sickness
- d) What are the symptoms of industrial sickness?

PARAB YASH DATTARAM

- a) What are the symptoms of industrial sickness
- b) Explain the features of freelancing
- c) Name any 5 external reasons that lead to sickness
- d) What are the different types of diversification?

DAMANIYA JARNA HITESH

- a) What are the measures to overcome sickness by government?
- b) List the features of self financing
- c) Explain the intensive Growth Strategies
- d) Give the indicators of a successful turnaround

PATEL DIYA HANSRAJ

- a) What are the measures to overcome sickness by stakeholderst?
- b) Explain the features of start ups.
- c) Give the disadvantages of startup.
- d) Write a note on BPR leader and process owner

TEMKAR VIGHNESH VIJAY

- a) What is the role of BIFR in industrial sickness?
- b) Give the advantages of outsourcing.
- c) Give the disadvantages of freelancing.
- d) What are the components of BPR?

GUPTA SACHIN RAMLALIT

- a) Define and explain the term turnaround.
- b) Give the advantages of insourcing.
- c) Give the disadvantages of franchising.
- d) Distinguish between outsourcing and networking

BODI BIMESH BASAPPA

- a) Explain BPR
- b) Give the advantages of franchising
- c) Give the disadvantages of networking.
- d) Define and explain the term industrial sickness

YADAV RISHU RAJKUMAR

- a) Explain the elements of BPR
- b) Give the advantages freelancing
- c) Give the disadvantages of self financing.
- d) Explain the internal strategies for growth and survival of a business.

BHAMRA AVLINKAUR AVTARSINGH

- a) Explain the need for BPR
- b) Give the advantages of startup
- c) Give the disadvantages of insourcing.
- d) Give an example of turnaround in aviation industry.

SONAR UMANG JAGANATH

- a) What are the implications of BPR?
- b) Give the advantages self financing
- c) Give the disadvantages of outsourcing.
- d) Give an example of turnaround in banking industry