ADMINISTRATIVE AUDIT REPORT

YEAR: 2017-18

No.	Ins	titutional Data
1	Name of the College Address & Tel. No.	Prahladrai Dalmia Lions College of Commerce & Economics, Swami Vivekanand Road, Sunder Nagar, Malad (West), Mumbai – 400 064. 022-28725792 / 28732270
2	Name of the Principal	Dr. N. N. Pandey
3	Name of the Registrar	Mr. J. V. Gomes
4	Year of Establishment University of Mumbai Letter No. & Date Government of Maharashtra Letter No. & Date Permanent Affiliation	16 th June, 1972. No. Aff./Recog./10265 of 1972 dated 12 th June, 1972. Govt. Letter dated 29-6-1973 No. Aff/Recog/72 of 1995 dated 7thFebruary, 1995.
5	UGC Recognition Letter No. & Date	2(f) :- No.8-16/76(0P) DT. 26 th April, 1976 12(B) :- UGC list Sr. No. 670
6	Accreditation / Re- Accreditation by NAAC	Accreditation:- 16 th February, 2004, Level - "B", Score – 72.05
	- 11 ¹⁴ - 11	Re-Accreditation :- 21 st February, 2014, Grade – "B", CGPA – 2.56
7	Date of Visit	18/5/2018



8	Name of the Peer Team	1 Shri Mohan J. Shinde, Ex-Registrar,
	Members	Patkar College, Goregaon (W),
		Mumbai - 400 062.
	,	2 Shri Chandrakant M. Amin, Ex-
		Registrar, N. K. College, Malad
		(W), Mumbai – 400 064.
9	Number of full time Teachers	B. Com. Aided
	(Including Principal & Librarian)	Permanent: M- 8 F- 8
		Temporary: M- 3 F- 9
		CHB M-1 F - 0
		B. Com. Unaided
		Permanent: M- F- Total -
		Temporary: M- F- Total -
10	Number of full time Teachers (Excluding Principal &	Self Finance
		Permanent: M- F- Total -
<u></u>	Librarian)	-Temporary:-M-06 E-17 Total-23-
11	Number of CHB teachers	Self Finance
		Permanent: M- F- Total -
		Temporary: M- 25 F- 44 Total - 69
12	Name of the Courses- Aided	B. Com. Aided
	Division & Enrolment	Year Division Total Student
		F.Y. 6 740
		S.Y. 6 764
		T.Y. 5 635
		Total 17 2139
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13	Name of the Courses-	B. Com	Unaided	
	Unaided Division & Enrolment	Year	Division	Total Student
		F.Y.	1	117
14	Name of the Courses-	BMS U	naided	
	Unaided Division &	Year	Division	Total Student
	Enrolment	F.Y.	3	195
		S.Y.	3	201
		T.Y.	3	178
		Total	9	574
15	Name of the Courses- Unaided Division & Enrolment	BFM U	naided	
		Year	Division	Total Student
		F.Y.	1	63
		S.Y.	1	52
		T.Y.	1	44
		Total	3	159
16	Name of the Courses-	BAF U	naided	
т. Т	Unaided Division & Enrolment	Year	Division	Total Student
	Enforment	F.Y.	3	175
		S.Y.	2	132 ,
		T.Y.	1	72
		Total	6	379



17	Name of the Courses-	BBI Unaided			
	Unaided Division & Enrolment	Year	Division	Total Student	
		F.Y.	2	124	
		S.Y.	1	57	
		T.Y.	1	53	
		Total	4	234	
18	Name of the Courses- Unaided Division & Enrolment	BMM U	Jnaided		
		Year	Division	Total Student	
		F.Y.	2	134	
		S.Y.	2	111	
		T.Y.	1	53	
		Total	5	298	
19	Name of the Courses- Unaided Division & Enrolment	B.ScIT Unaided			
		Year	Division	Total Student	
		F.Y.	1	63	
		S.Y.	1	50	
		T.Y.	1	45	
		Total	3	158	
20	Name of the Courses-	BIMU	Jnaided		
	Unaided Division & Enrolment	Year	Division	Total Student	٦
		F.Y.	1	55	
		S.Y.	1	31	
		T.Y.	-	-	
		Total	2	86	



	Name of the Courses-	M.COM	- (Accounta	ncy) Unaided
	Enrolment	Year	Division	Total Student
		Ι	2	95
		II	2	124
		Total	4	219
22	Name of the Courses-	M.COM	1 – I & II (M	anagement) Unaided
	Unaided Division & Enrolment	Year	Division	Total Student
		I	1	39
		II ·	1	35
		Total	2	74
23	Research Centre Enrolment	Comm	ittee Report S	tancy & LIC Submitted to the ssion awaited.
24	Number of Non-Teaching	Aided		
	Staff	М -	15 F -	8 Total - 23
		Unaide	ed	
		М -	9 F -	3 Total - 12
25	Non-Teaching Staff (Staffing Pattern)	Head	rar - 1 ccountant - Clerk - 1 erk - 3	Vacant
		Jr. Clo Lib C Lib A Peons	erk – 4 (Aide lerk – 1 (Aid ttendant – 7 s - 6 (Aided	d) 5- Unaided ded) 2- Unaided (Aided)) 5 – Unaided
20	5 Self-Finance Courses	Total Jr Cle	$\frac{-35}{\text{erk}-4}$	
26			Clerk – 1	
				al - 12
				6

Jacob March



Type of Audit : Voluntary Audit arranged by Prahladrai Dalmia Lions College of Commerce & Economics, Sunder Nagar, Malad (W), Mumbai-400064

Audit: NAAC Reaccreditation: Preparatory Audit of the College for the further improvement in Administration of the college

No.	0	bservation on Key Aspects
1	General Administration	 General office Administration of the college is excellent. Team spirit amongst staff is maintained. Cordial & inspiration atmosphere is maintained. Record of Administration are well maintained. Principal, Management and teaching staff relation with Administrative staff are cordial and co-operative.
2	Extension & Continuation of Affiliation	 College is permanently affiliated for B.Com Degree Course from the academic year 1988-1989 on 7th February, 1995. Only the Self-Financing Courses & Post Graduate Degree Courses is also granted continuation of Affiliation. Affiliation correspondence and records properly maintained by the office.



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3	Selection, Advertisements & Interview Procedures	 Record of Selection Committee, Advertisement approval from the University and interview procedure maintained properly by the college office. Suggestion: Selection Committee Report and NOC's from the Joint Director office may be kept separately. 	Rajes Vija Vidy 5 H
4	Teaching Staff Approvals		Vi Vidyo 5 th
5	Teaching Staff CAS Promotions	• CAS promotions to the teaching staff has been given as per the rules of the University. Reports of the Selection Committee maintained properly.	
6	Non-Teaching Staff Appointments & Promotions	 All the appointments of non-teaching staff are made as per the staffing patter approved by the Jt. Director, Mumbai. Some appointments of non-teaching staff, class III & IV are vacant due to approval of roster from Kokan Ayukta . The appointments of separate staff of Self-Financing Courses are also made and remuneration paid from consolidate basis . 	



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8	Statistical Information University of Mumbai MIS(DHE, Pune) AISHE (UGC)	•	Statistical information of University of Mumbai, Director of Education (MIS), and University Grant Commission AISHE are submitted to the authority online information up to the academic year 2017-18.
0	Service Books & Leave Records (Teaching & Non-Teaching Staff)	•	Service book of all the teaching and non- teaching staff appointed on regular basis are maintained and entries in the Service Books including leave records pay scale increments and other remarks made up to date. Suggestions: Suggested to maintain Service Books for permanent teachers and non-teaching staff of Self-Financing courses, whose appointments are approved by the University.
9	Roster & Reservation	•	Rosters for teaching & non-teaching staff are maintained properly as per the rules and regulations and approved by Special Cell of the University. Approval from Kokan Ayukta is pending for some cases.
10	Admissions Procedures	•	Admission procedure has been done as per the Universities circulars and guidelines. All admissions are done on online process and payment of fees are also made on online process. suggestions: All the records of applied list, merit list & admitted list and statistical data also to be maintained as per the rules.



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11	Enrolment, E-Suvidha, Eligibility & Migration	 Confirmation of enrolment and eligibility records are maintained properly year to year basis. Eligibility and enrolment confirmation status are maintained up to date. 	
12	Examinations (F.Y., S.Y. & T.Y.)	 In examination work all the administrative staff helps the examination committee in related to examination work. They also help in CAP assessment work to the co-ordinator. CAP attendance register is also maintained properly. The examination results and other records is also maintained properly. 	
13	Transcripts, Recommendations & Bonafide Certificates	 The counter administrative staff are doing excellent work. They also provide excellent service to the students. 	
14	Government Scholarships & Free Ships	 Government Scholarships and Freeships are provide to the students as per the rules. The record of different scholarships & freeships maintained year wise and the hard copy of the application form, sanctioned order of Social Welfare Department office and disbursement certificates files are properly maintained. Suggestions: All the government scholarships & freeships are pending for last five years. Administrative staff should follow up to the Government Authority. 	Vikas



15	Inward & Outward Registers	 Inward & Outward Registers are maintained. Suggestions: Mention the compliance status, date of receipt and file no. in the inward register. 	
16	General Registers	General Register for Degree & Self- Financing Courses is properly maintained.	_
17	Dead Stock Registers	 Dead stock registers are maintained properly. Suggestions: It has been suggested to do the numbering on the entire item recorded in the register. 	Abhwini Prasad Ani Ket
18	Record of Minutes of Local Managing Committee, Purchase Committee and Quality Assurance Cells etc.	• Records of minutes of LMC, IQAC & Purchase Committee are maintained in the register.	-
19	Record of Computers, Printers, Lap Tops, Scanners, Projectors & Licensed Software's	• Suggestions: It has been suggested that . record of computers, printers, laptops, scanners, projectors & Licensed Software to be maintained properly.	Dash.

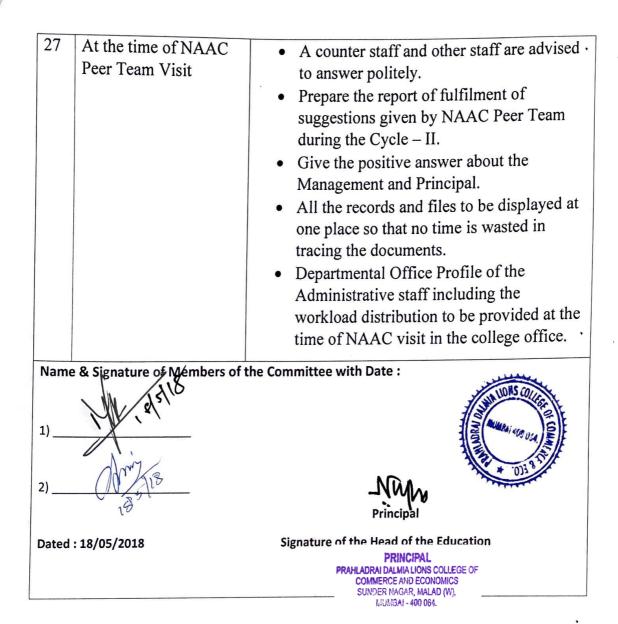


20	Accounts & Finance Section : Cashbook, Ledger, Salary Registers, Salary Bills, Vouchers, Receipt Books, Fee Registers, etc.	 Accounts are comprising in Tally package For B.Com aided courses, self-financing un-aided courses and post graduate un- aided courses maintained independent separate accounts and balance sheet. All the accounts maintained properly. Suggestions: Accounts to be maintained for degree college aided courses separate, Jr. College aided courses separate and the remaining all un-aided self financing & PG courses to be maintained separate Balance Sheet. 	Ashwini Giomes .
21	College Budgets & Audited Balance Sheet	 The College budgets for all the courses are prepared every year. Record of Audited statement of accounts and Balance Sheets are maintained properly. 	
22	Grant in Aid Records JT. Director	• Grant-in-Aid records from Jt. Directors office are maintained properly.	Ŧ
23	Grant in Aid UGC (Five Years Plan)	 All the UGC Grant received are properly utilized according to UGC guidelines. Suggestions: UGC utilization to be maintained separately year wise and register to be maintained. 	



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24	Annual Maintenance Contract: Pest Control Air Conditions Water Coolers CCTV Fire Extinguishers Computers & Printers	 AMC contract is well maintained and renewed from time to time. Suggestions: It is suggested that all the maintenance contract to be maintained in one file to show the NAAC Team.
25	Non-Teaching Staff Welfare	 Financial help provided to Non-Teaching Staff in case of emergency by the Management. Leave and Medical facilities provided to aided non-teaching staff as per the rules. Suggestions: It is suggested that college should organise a workshop of non- teaching staff. It is suggested to form a Co-operative Credit Society to the staff of the college.
26	General Suggestions	 Maintain master files and folders and keep on up to date for the administrative staff. Convey the meeting of administrative staff twice or trice in a year and maintain its records of minutes also. Maintain the records of Best Practices of the administrative staff. Organise one training programme for the administrative staff on soft skill, University rules and behaviour in matter etc. Maintain a collection of books on Rules and Regulations of the Government, University and University Grants Commission.





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Follow-up Action Taken

Administrative Audit Report (2017-18)

According to general suggestions of the auditor following are the actions taken by the institution:

- All the master files and folder are kept up to date for the administrative staff
- Administrative staff meeting is conduced twice a year and its minutes are recorded systematically
- We maintain the record of a "ADMINOTSAV" which Best Practice event for administrative staff
- We organize training programme for administrative staff to improve their soft skills
- We have kept collection of books on rules and regulation of the Government, University and University Grants Commission

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Emelia Noronha - IQAC Coordinator



Dr. N. N. Pandey

Principal **PRAHLADRAI DALMIA LIONS COLLEGE OF COMMERCE AND ECONOMICS** SUNDER NAGAR, MALAD (W), MUMBAI - 400 064.



Prahladrai Dalmia Lions College of Commerce & Economics

Sunder Nagar, Malad (W), Mumbai-400 064.

Academic Audit Report Year 2017-18

Sr. No.		Institutional Data
1,	Profile of the College	The Institution was established in in 1972. The vision of the college : 'To groom the students as icons of tomorrow, potentially contributing to commerce, trade and industry; progress and development of the society, keeping in mind, at the same time, the values of humanity and social responsibility.' Mission: 'Providing quality commerce education, using innovative teaching methods and ensuring holistic development of students who will serve through value-based business practices.'
2.	Name of Principal	Dr. N. N. Pandey, Principal
3.	Year of establishment	1972
4,	Area of Campus	5787 sq. mts.
5,	Date of Visit	3 July 2018
6.	No. of teachers	Permanent = 17 Temporary faculty = 48 Visiting faculty= 104
7.	No. of Programmes	UG=8 PG=2
8.	No of students	UG + PG = 4165 + 293 = 4458
9.	No of Non- teaching staff	Permanent = 23 Temporary = 24
10.	Accreditation by NAAC	Reaccredited in Dec 2014 , 2 nd Cycle Grade: B, CGPA : 2.56



Sunder Nagar, Malad (W), Mumbai-400 064.

Observations of Audit Team

C. No.	Key Aspects	Observations
Sr. No.	Academic Management	Qualified Teaching Faculty
1	Academic Management	Teachers take part in Faculty
		development programmes
		Conferences and workshops on
		crucial issues are organised by
	i i i i i i i i i i i i i i i i i i i	the college annually
	Lorre all mail Servey (11)	Examinations are conducted in
		time
		Results are declared in time
2.	Administration &	Academic Calendar is in place
	Management (Supporting	Teaching learning process
	Academics)	involves Classroom teaching
		uses the ICT in a limited manner
		Teachers are encouraged to
		attend conferences and seminars
		Departmental Monthly meetings
		are conducted
3.	Academic Practices	The Academic Practices are in
		help to nurture the academics of
	the second defined of the definition of the second s	students
		Teachers are Members of
		Maharashtra Chamber of
		Commerce and Maharashtra
		Commerce Association
		Teachers have publish research papers
		Results are good
4.	Infrastructure, Financial &	Support is provided to students
	Support Facilities for Academic	to excel in sports and cultural
	Activities	activities
		Good Infrastructure
		Spacious staff room and good
		office
		Good ventilated Library with
		large number of books
		Library is open access
		Computer labs well maintained



Prahladrai Dalmia Lions College of Commerce & Economics

Sunder Nagar, Malad (W), Mumbai-400 064.

		Faculty development programmes to be conducted in institute Involve staff in exchange programmes
10.	Recommendations	ICT facilities should be the
10.	recommendations	focus
		Encourage more research
		More Certificate Courses can be introduced
		College should have policies and
		SOPs for its departments
		More faculty development
		programmes for admin staff
		Research Centres for PhD
		programmes should be started
		Institution can go for ISO
		Limit Visiting Faculty in SFC
	1961 - L	appoint more Core Faculty
		teaching staff
2. M	Thairperson: Tember Coordinator: Tember : $\frac{1}{\sqrt{\sqrt{2}}}$	Ar. santosh Rathod)
		_Nwh
Signatur	e of the Head of the Instituti	-Nw/m
Signatur	e of the Head of the Instituti	-Nimm



Follow-up Action

Administrative and Academic Audit Report 2017-18

- 1. Research Centre in Commerce Accountancy has been started
- 2. Process for ISO 9001:2015 Certification has been initiated
- 3. Workshops for admin staff is planned on an annual basis.
- 4. Work on SOPs and Policies has been initiated. The Operating Procedures are compiled.
- 5. Work for starting a Research Centre at the institution has been initiated.
- Proposal for ICT enabled classrooms have been put forth to the Management.
- 7. Teaching faculty is motivated to publish research papers in UGC approved journals.
- 8. Proposal for increase in Core Faculty members for Self-Financing Programmes is put forth to the Management

Emelia Noronha IQAC Coordinator



Dr. N. N. Pandey Principal FRAHLADRAI DALMIA LIONS COLLEGE OF COMMERCE AND ECONOMICS SUNDER NAGAR, MALAD (W), MUMBAI - 400 064.



ADMINISTRATIVE AND ACADEMIC AUDIT REPORT

2021-2022

SR. NO.	INSTITUTIONAL DATA	
1.	Profile of the College The Institution was established in in 1972 to cater to the needs of the society. The foundation stone of the college was laid in April, 1972, with a handsome donation from the Dalmia family of Prahladrai Dalmi Charity Trust. The Institution that started with 450 students has today become one of the premier institutes in the western suburbs. The College endeavours for academic excellence and promotion of co-curricular and extra-curricular activities that promote resilience, creativity and leadership qualities among the students. The College lays great emphasis on inculcating in its students the importance of ethics, hard work and commitment to excellence.	
2.	Name of Principal	Dr. Kiran Mane, In charge Principal
3.	Year of establishment	1972
4.	Area of Campus	5787 sq. mts.
5.	Date of Visit	23 April 2022
6.	No. of teachers	Full time = 2021-22 = 50
7.	No. of Programmes	UG = 8 PG= 2 PhD= 3
8.	No of students	UG + PG 2021-22 = 5143
9.	Accreditation by NAAC	Reaccredited in Dec 2014, 2 nd Cycle Grade: B, CGPA : 2.56



Sunder Nagar, Malad (W), Mumbai-400 064.

OBSERVATIONS OF AUDIT TEAM

Sr. No.	Key Aspects	Observations
1	Academic Management	Well Qualified Teaching Faculty
	generit	Teaching Learning Feedback and analysis is
	the second se	continuous
	" Contraction for the second	Teachers take part in Seminars,
		Conferences, Workshops, Refresher Courses
		Orientation Courses
		College Publishes Annual Magazine -
	 Initiation of the second states 	Spectrum
		All Teachers participate in examination duties
		Examinations are conducted in time and
		results are declared in time
2.	Administration &	Academic Calendar made in line with the
	Management	Terms of Arrangement of University of Mumba
	(Supporting	
	Academics)	Teaching plans, Teachers Diary – Log book
		and Monitoring of teaching learning Process
		through Monthly monitoring plan is done well.
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		Teachers are given monetary support to atten
		conferences, seminars and publish research
		papers
3.	Academic Practices	Monthly meetings conducted to monitor
•.	Academic Fractices	The Academic Practices are in consonance with
		the Institutional Vision and Mission
		Teachers are Members of Maharashtra
		Chamber of Commerce and Maharashtra Commerce Association
	leicelage and	Teachers have published reasonal
	ADD THE SECOND	Teachers have published research papers in peer reviewed journals, written books and
		have done minor research projects from
		University of Mumbai
		ICT method is used for teaching learning
		process
		College Participates in various sports and
		cultural competitions/activities
4.	Infrastructure, Financial	LCD is made available for teaching learning
	& Support Facilities for	process with LAN in every classroom
	Academic Activities	Good Intrastructure to support teaching
		learning classrooms
		Staff rooms and office are air conditioned



		SFC Class rooms and Ground floor staff rooms are air conditioned
		Library is spacious and open access
		Library uses Library software to reach out to students
		Spacious and well-equipped computer labs
5.	Institutional Social	NSS, NCC and DLLE are very active
	Responsibility	Community programs are carried out by extension units
		Lot of community outreach programs are take up -
6.	Institutional Strengths	The Documentation process on the basis of ISO guidelines is done systematically
	and the second second second second	Alumni is registered and supportive
		Qualified and devoted staff
	maded in the share're ar	Good Infrastructure facilities
		Undergraduate Results are very good
		Participation of students in curricular and
	a setting the second state of the	extra-curricular activities is good
		Social Outreach is commendable
7.	Institutional	Delay in appointment of permanent teachers
	Weaknesses	due to no NOC from the Government of
		Maharashtra- as a result temporary teachers
		are appointed on aided posts.
		Space Crunch for new programs
		Limited Research Culture
8.	Institutional Challenges	Getting NOCs for regular appointments in
		teaching and non-teaching staff
		To balance online and offline lectures and
		hybrid learning teaching To motivate teachers to take up major
		research projects
9.	Institutional	Introduce new Programmes
5.	Opportunities	Strengthening of research activities
	opportunitioo	To bring in more Industrial-Academia
		Collaboration
		Involve more staff in Faculty development
		programmes
10.	Recommendations	Consolidate Mentoring System
		Encourage teachers to engage in more
		research
		College should plan to have a recording studio
		for E-content development
		College should have its Swayam Chapter to
		encourage value addition through online
		certificate courses



Г	Chauld use sum Learning Menagement
	Should use own Learning Management
	system instead of Google Classroom
	Introduce more Programmes
	More industry academia collaboration should
	be initiated
	The institute should procure an ERP for
	digitalising of administration
	More Certificate Courses can be introduced
	 Name and Signature of the Auditing Team 1. Chairperson: Angen Car. Angen Kunned 2. Member Coordinator: 35 Bufferiter. (Dr. D. P. Digole)
	3. Member: Dr. K. J. Rathing July
	Signature of the Head of the Institution:
	Date and Seal of College: R3 April 2022 COMMERCE AND ECONOMICS SUNDER NAGAR, MALAD (W),
-	MUMBAI - 400 064



Follow-up Action Taken Academic & Administrative Report 2021-22

- 1. Mentoring Policy is formulated and Google form and WhatsApp groups are used connect with students
- Research Policy is in place and teachers are encouraged to engage in more research and institution reimburses the payment of publication and seminar/ conference fee
- 3. Work has begun on starting a Swayam Chapter of the institution
- 4. Management has been given a proposal of procuring an ERP for digitalising of administration
- Management has been given a proposal of procuring an LMS Learning Management system so that teachers can connect with students in an online mode
- 6. Proposals for Distance learning Programmes such as BCA and BSC(IT) are put forth
- 7. Teaching staff is encouraged to initiate MOUs with the industry
- 8. Proposals to start new Certificate Courses is initiated
- The proposal has been put forth to the College Management for a Media Lab to be developed for E-content creation.



Emelia Noronha IQAC Coordinator



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Dr. Kiran Mane I/C Principal PRAHLADRAI DALMIA LIONS COLLEGE OF COMMERCE AND ECONOMICS SUNDER NAGAR, MALAD (W), MUMBAI - 400 064.