

FYBIM
SEMESTER II
WEALTH MANAGEMENT

ROLL NO-120

- Q1) Definition of Wealth management and explain its understanding wealth management?
- Q2) Discuss the wealth management process?
- Q3) Discuss the Role of debt in wealth management?
- Q4) Explain the risk of investing in debt securities?
- Q5) Equity as an asset class – investing in stocks?

ROLL NO- 136

- Q1) Explain the Sources of wealth and human Capital?
- Q2) Financial Capital and its uses?
- Q3) Write a short note on Financial Life Cycle?
- Q4) Working Life – Pre-family independence?
- Q5) Retirement related risk

ROLL NO- 141

- Q1) Write a short note on: Role of debt in wealth management?
- Q2) Types of Life Insurance Policies - Term Insurance; Whole Life Insurance?
- Q3) Calculation of Claim amount and other benefits – Types of Bonus ?
- Q4) Employee Benefits – Salary and Bonus; Reimbursement of expenses?
- Q5) Health Benefits; Group Insurance; LTA, TA, Concessional Loans ?

ROLL NO- 111

Q1) Write a note on the concept of Estate Planning, its purpose and need?

Q2) **Powers of Attorney** – Use and purpose explain?

Q3) Trust - Classification of Trust – Revocable and Irrevocable

Q4) Explain the act of-Insurance Regulatory and Development Authority (IRDA) Act-1999?

Q5) Explain the following in detail-Asset Allocation Risk, Interest Rate Risk, Inflation Risk?

INTRODUCTION TO ACCOUNTING-II

ROLL NO- 145

1. Legal provisions for redemption of preference shares
2. Journal entries: if 1000, 6% Debentures of Rs. 100 each were: (a) Issued at par, repayable at a premium of 10%, (b) issued at a premium of 10%, repayable at premium of 20%; (c) issued at a discount of 10%, repayable at premium of 20%.
3. P Ltd had 500, 10% Redeemable preference shares of Rs. 100 each fully paid up. The company decided to redeem these preference shares at par by the issue of sufficient number of equity shares of Rs. 10 each fully paid up at par. You are required to pass necessary journal entries including cash transactions in the books of the company.
4. Short notes on Divisible profits.
5. H Ltd had 8000, 8% Redeemable preference shares of Rs. 100 each fully paid up. The company decided to redeem these preference shares at par by the issue of sufficient number of equity shares of Rs. 10 each fully paid up at par. You are required to pass necessary journal entries including cash transactions in the books of the company.

ROLL NO- 131

1. On 1st april, 2012 Shri Ram had Rs. 500000, 8% Government stock at Rs. 94 (Face Value Rs. 100). Interest is payable half yearly in 31st March and 30th September. He sold Rs. 90000 of the stock at Rs. 95 ex-interest on 1st June, 2012. Draw up 6% Government stock account in the investment ledger of Mr. Ram for the year ended 31st March, 2013. The stock was quoted at Rs. 96 ex interest at the stock exchange on that date.
2. Nari Ltd exports on 15-12-2012 goods worth \$ 350000 to Orient Traders in New York. The payment was received on 28-2-2013. On the date of export, the exchange rate was \$ 1 = Rs. 45. The dollars were actually received when the exchange rate was \$ 1= Rs. 48. Record the transactions in the book of Nari Ltd. In accordance with AS11 (Revised)
3. On 1st april, 2012 Shri Ram had Rs. 350000, 8% Government stock at Rs. 94 (Face Value Rs. 100). Interest is payable half yearly in 31st March and 30th September. He sold Rs. 90000 of the stock at Rs. 95 ex-interest on 1st June, 2012. Draw up 6% Government stock account in the investment ledger of Mr. Ram for the year ended 31st March, 2013. The stock was quoted at Rs. 96 ex interest at the stock exchange on that date.
4. Nari Ltd exports on 15-12-2012 goods worth \$ 150000 to Orient Traders in New York. The payment was received on 28-2-2013. On the date of export, the exchange rate was \$ 1 = Rs. 45. The dollars were actually received when the exchange rate was \$ 1= Rs. 48. Record the transactions in the book of Nari Ltd. In accordance with AS11 (Revised)
5. What is Ex-Interest and Cum interest?

ROLL NO- 108

1. H Ltd had 8000, 8% Redeemable preference shares of Rs. 100 each fully paid up. The company decided to redeem these preference shares at par by the issue of sufficient number of equity shares of Rs. 10 each fully paid up at par. You are required to pass necessary journal entries including cash transactions in the books of the company.
2. Give Journal Entries for issue of debentures in the following case: Issued 1000, 7% debentures of Rs. 100 each at par, redeemable at premium at 5%.
3. Redemption of partly paid preference shares
4. X limited invited application for 10000 shares of the value of Rs. 10 each. The amount is payable as Rs. 2 on application and Rs. 5 on allotment and balance on first and final Call. The whole of the above issue was applied and cash duly received. Give Journal Entries for the above transactions.
5. Nari Ltd exports on 15-12-2012 goods worth \$ 650000 to Orient Traders in New York. The payment was received on 28-2-2013. On the date of export, the exchange rate was \$ 1 = Rs. 45. The dollars were actually received when the exchange rate was \$ 1= Rs. 48. Record the transactions in the book of Nari Ltd. In accordance with AS11 (Revised)

ROLL NO-127

1. X limited invited application for 2000 shares of the value of Rs. 10 each. The amount is payable as Rs. 2 on application and Rs. 5 on allotment and balance on first and final Call. The whole of the above issue was applied and cash duly received. Give Journal Entries for the above transactions.
2. Short Notes on - Capital Redemption Reserve
3. Mr. T purchased 1000, 10% debentures of Rs. 100 each on 1st April, 2013 at Rs. 96 cum interest, the previous interest date being 31st December, 2012. Compute cost of investment.
4. RS purchased 1000 shares in RL Ltd at Rs. 600 per share in 2010. There was a rights issue in 2013 at one share for every two held at a price of Rs. 150 per share. If RS subscribed to the rights what would be the carrying cost of 1500 shares? If he sold the rights in the market at Rs. 400 per share what would be the carrying cost of the original shares.
5. Short notes on - Pre- Acquisition dividend

ROLL NO- 126

1. Pioneer Equipment Limited received on October 1, 2017 application for 25000 Equity Shares of Rs. 100 each to be issued at a premium of Rs. 25 payable at thus: On

- application – Rs. 25, On allotment – Rs. 75 (including Premium), Balance amount on shares - As and when required. The shares were allotted by the company on October 20, 2017 and the allotment money was duly received on October 31, 2017. Record Journal Entries in the books of the company to record the Give Journal Entries for issue of debentures in the following case: Issued 1000, 7% debentures of Rs. 100 each at a discount of 5%, redeemable at a premium of 5%.
2. Non divisible profits
 3. H Ltd had 10000, 8% Redeemable preference shares of Rs. 100 each fully paid up. Profit and loss A/c credit balance available – Rs. 300000. The company decided to redeem these preference shares at par by the issue of sufficient number of equity shares of Rs. 10 each fully paid up at par. You are required to pass necessary journal entries including cash transactions in the books of the company.
 4. Redemption of partly paid preference shares
 5. Transactions in the connection with the issue of shares.

ROLL NO- 120

1. H Ltd had 8000, 8% Redeemable preference shares of Rs. 100 each fully paid up. The company decided to redeem these preference shares at par by the issue of sufficient number of equity shares of Rs. 10 each fully paid up at par. You are required to pass necessary journal entries including cash transactions in the books of the company.
2. On 1st april, 2013 Ram had Rs. 500000, 6% Government stock at Rs. 94 (Face Value Rs. 100). Interest is payable half yearly in 31st March and 30th September. He sold Rs. 90000 of the stock at Rs. 95 ex-interest on 1st June, 2013. Draw up 6% Government stock account in the investment ledger of Mr. Ram for the year ended 31st March, 2013. The stock was quoted at Rs. 96 ex interest at the stock exchange on that date.
3. Accounting for Investment in Equity Shares.
4. H Ltd had 8000, 8% Redeemable preference shares of Rs. 100 each fully paid up. Profit and loss A/c credit balance available – Rs. 500000. The company decided to redeem these preference shares at par by the issue of sufficient number of equity shares of Rs. 10 each fully paid up at par. You are required to pass necessary journal entries including cash transactions in the books of the company.
5. X Limited with a nominal capital of Rs. 5000000 in Equity Shares of Rs. 10 each, issued 200000 shares payable Rs. 2.50 per share on application, Rs. 2.50 on allotment and Rs. 5 per share on first and final call three months later. All moneys payable on allotment were duly received but one shareholder failed to pay the amount due on allotment on his 2500 shares, while another shareholder who held 2000 shares paid for the shares first and final call also. Give journal entries in the company's book to record the above transactions upto allotment of shares.

ROLL NO- 136

1. A Ltd. Forfeited 300 equity shares of Rs. 10 fully called up held by Mr. X for non-payment of Final Call of Rs. 4 each. However, he paid application money @ Rs. 2 per share and allotment money @ Rs. 4 per share. These Shares were originally issued at par. Give Journal Entry for Forfeiture.
2. Q Ltd had 800, 9% Redeemable preference shares of Rs. 100 each fully paid up. The company decided to redeem these preference shares at par by the issue of sufficient number of equity shares of Rs. 10 each fully paid up at par. You are required to pass necessary journal entries including cash transactions in the books of the company.
3. Weighted Average Cost of Investment.
4. The directors of a company forfeited 200 shares of Rs. 10 each issued at a premium of Rs. 3, for the non-payment of the first call money of Rs. 3 per share. The Final Call of Rs. 2 per share has not been made. Half of the forfeited shares were re-issued at Rs. 1000 fully paid. Record Journal Entries for the forfeiture and re-issue of shares.
5. Journal entries for making all preference shares fully paid up

ROLL NO- 141

1. On 1st april, 2012 Shri Ram had Rs. 300000, 6% Government stock at Rs. 94 (Face Value Rs. 100). Interest is payable half yearly in 31st March and 30th September. He sold Rs. 90000 of the stock at Rs. 95 ex-interest on 1st June, 2012. Draw up 6% Government stock account in the investment ledger of Mr. Ram for the year ended 31st March, 2013. The stock was quoted at Rs. 96 ex interest at the stock exchange on that date.
2. Y limited forfeited 1500 shares of Rs. 10 each (Rs. 7 called-up) for the non-payment of the allotment money of Rs. 4 per share including Rs. 1 as premium. Of these, 1000 shares were re-issued to M at Rs. 6 per shares as Rs. 7 called-up. Journalise the above transaction in the books of Y Ltd.
3. Journal entries for Forfeiture of Preference shares
4. H Ltd had 8000, 8% Redeemable preference shares of Rs. 100 each fully paid up. Profit and loss A/c credit balance available – Rs. 300000. The company decided to redeem these preference shares at par by the issue of sufficient number of equity shares of Rs. 10 each fully paid up at par. You are required to pass necessary journal entries including cash transactions in the books of the company.
5. What is cum interest?

ROLL NO-121

1. Nari Ltd exports on 15-12-2012 goods worth \$ 100000 to Orient Traders in New York. The payment was received on 28-2-2013. On the date of export, the exchange rate was \$ 1 = Rs. 45. The dollars were actually received when the exchange rate was \$ 1= Rs. 48. Record the transactions in the book of Nari Ltd. In accordance with AS11 (Revised)
2. Give Journal Entries for issue of debentures in the following case: Issued 1000, 7% debentures of Rs. 100 each at par, redeemable at premium at 5%.
3. L Ltd. Forfeited 470 Equity Shares of Rs. 20 each issued at a premium of Rs. 3 per share for the non- payment of allotment money of Rs. 8 (including premium of Rs. 3) and first call of Rs. 2 per share. Final Call of Rs.5 per share was not made. Out of these, 235 shares were re-issued at Rs. 19 each fully paid. Pass necessary Journal entries for the above transactions in the books of L ltd.
4. Short notes on Accounting for Investment in Debentures.
5. Nari Ltd exports on 15-12-2012 goods worth \$ 200000 to Orient Traders in New York. The payment was received on 28-2-2013. On the date of export, the exchange rate was \$ 1 = Rs. 45. The dollars were actually received when the exchange rate was \$ 1= Rs. 48. Record the transactions in the book of Nari Ltd. In accordance with AS11 (Revised)

ROLL NO-158

1. Short Note - Accounting standard 11
2. Give Journal Entries for issue of debentures in the following case: Issued 1000, 7% debentures of Rs. 100 each at par, redeemable at par.
3. H Ltd had 5000, 8% Redeemable preference shares of Rs. 100 each fully paid up. Profit and loss A/c credit balance available – Rs. 200000. The company decided to redeem these preference shares at par by the issue of sufficient number of equity shares of Rs. 10 each fully paid up at par. You are required to pass necessary journal entries including cash transactions in the books of the company.
4. Give Journal Entries for issue of debentures in the following case: Issued 1000, 7% debentures of Rs. 100 each at premium of 5%, redeemable at par.
5. What is Ex interest?

ROLL NO- 115

1. Nari Ltd exports on 15-12-2012 goods worth \$ 300000 to Orient Traders in New York. The payment was received on 28-2-2013. On the date of export, the exchange rate was \$ 1 = Rs. 45. The dollars were actually received when the exchange rate was \$ 1= Rs. 48. Record the transactions in the book of Nari Ltd. In accordance with AS11 (Revised)

2. Give Journal Entries for issue of debentures in the following case: Issued 1000, 7% debentures of Rs. 100 each at discount of 5%, redeemable at par.
3. Accounting for bonus shares in Shares Investment Account.
4. Nari Ltd exports on 15-12-2012 goods worth \$ 400000 to Orient Traders in New York. The payment was received on 28-2-2013. On the date of export, the exchange rate was \$ 1 = Rs. 45. The dollars were actually received when the exchange rate was \$ 1= Rs. 48. Record the transactions in the book of Nari Ltd. In accordance with AS11 (Revised)
5. Short Note - Exchange Rates

ROLL NO- 137

1. On 1st april, 2014 Ram had Rs. 600000, 6% Government stock at Rs. 94 (Face Value Rs. 100). Interest is payable half yearly in 31st March and 30th September. He sold Rs. 90000 of the stock at Rs. 95 ex-interest on 1st June, 2014. Draw up 6% Government stock account in the investment ledger of Mr. Ram for the year ended 31st March, 2013. The stock was quoted at Rs. 96 ex interest at the stock exchange on that date.
2. Give Journal Entries for issue of debentures in the following case: Issued 1000, 7% debentures of Rs. 100 each at a premium of 5%, redeemable at a premium of 8%.
3. Journal entries : if 1000, 6% Debentures of Rs. 100 each were : (a) Issued at par, repayable at Rs. 100; (b) issued at a discount of Rs. 5, repayable at par; (c) issued at a premium of 5%, repayable at par; (d) issued at par, repayable at premium of Rs. 5; (e) issued at a discount of 5%, repayable at premium of Rs. 5; and (f) issued at a premium of 5%, repayable at a premium of 10%.
4. Accounting for Sale of rights in shares Investment Account
5. Nari Ltd exports on 15-12-2012 goods worth \$ 550000 to Orient Traders in New York. The payment was received on 28-2-2013. On the date of export, the exchange rate was \$ 1 = Rs. 45. The dollars were actually received when the exchange rate was \$ 1= Rs. 48. Record the transactions in the book of Nari Ltd. In accordance with AS11 (Revised)

ROLL NO- 150

1. H Ltd had 8000, 8% Redeemable preference shares of Rs. 100 each fully paid up. Profit and loss A/c credit balance available – Rs. 300000. The company decided to redeem these preference shares at par by the issue of sufficient number of equity shares of Rs. 10 each fully paid up at par. You are required to pass necessary journal entries including cash transactions in the books of the company.
2. On 1st april, 2012 Shri Ram had Rs. 200000, 6% Government stock at Rs. 94 (Face Value Rs. 100). Interest is payable half yearly in 31st March and 30th September. He sold Rs. 90000 of the stock at Rs. 95 ex-interest on 1st June, 2012. Draw up 6% Government stock

account in the investment ledger of Mr. Ram for the year ended 31st March, 2013. The stock was quoted at Rs. 96 ex interest at the stock exchange on that date.

3. Short Note - Foreign Fluctuation Account
4. H Ltd had 4000, 8% Redeemable preference shares of Rs. 100 each fully paid up. Profit and loss A/c credit balance available – Rs. 150000. The company decided to redeem these preference shares at par by the issue of sufficient number of equity shares of Rs. 10 each fully paid up at par. You are required to pass necessary journal entries including cash transactions in the books of the company.

On 1st april, 2012 Shri Ram had Rs. 500000, 8% Government stock at Rs. 94 (Face Value Rs. 100). Interest is payable half yearly in 31st March and 30th September. He sold Rs. 90000 of the stock at Rs. 95 ex-interest on 1st June, 2012. Draw up 6% Government stock account in the investment ledger of Mr. Ram for the year ended 31st March, 2013. The stock was quoted at Rs. 96 ex interest at the stock exchange on that date.

ROLL NO- 102

1. Nari Ltd exports on 15-12-2012 goods worth \$ 350000 to Orient Traders in New York. The payment was received on 28-2-2013. On the date of export, the exchange rate was \$ 1 = Rs. 45. The dollars were actually received when the exchange rate was \$ 1= Rs. 48. Record the transactions in the book of Nari Ltd. In accordance with AS11 (Revised)
2. On 1st april, 2012 Shri Ram had Rs. 350000, 8% Government stock at Rs. 94 (Face Value Rs. 100). Interest is payable half yearly in 31st March and 30th September. He sold Rs. 90000 of the stock at Rs. 95 ex-interest on 1st June, 2012. Draw up 6% Government stock account in the investment ledger of Mr. Ram for the year ended 31st March, 2013. The stock was quoted at Rs. 96 ex interest at the stock exchange on that date.
3. Nari Ltd exports on 15-12-2012 goods worth \$ 150000 to Orient Traders in New York. The payment was received on 28-2-2013. On the date of export, the exchange rate was \$ 1 = Rs. 45. The dollars were actually received when the exchange rate was \$ 1= Rs. 48. Record the transactions in the book of Nari Ltd. In accordance with AS11 (Revised)
4. What is Ex-Interest and Cum interest?
5. Nari Ltd exports on 15-12-2012 goods worth \$ 250000 to Orient Traders in New York. The payment was received on 28-2-2013. On the date of export, the exchange rate was \$ 1 = Rs. 45. The dollars were actually received when the exchange rate was \$ 1= Rs. 48. Record the transactions in the book of Nari Ltd. In accordance with AS11 (Revised)

ROLL NO-124

1. Short Note - Accounting standard 11
2. Give Journal Entries for issue of debentures in the following case: Issued 1000, 7% debentures of Rs. 100 each at par, redeemable at par.
3. H Ltd had 5000, 8% Redeemable preference shares of Rs. 100 each fully paid up. Profit and loss A/c credit balance available – Rs. 200000. The company decided to redeem these preference shares at par by the issue of sufficient number of equity shares of Rs. 10 each fully paid up at par. You are required to pass necessary journal entries including cash transactions in the books of the company.
4. Give Journal Entries for issue of debentures in the following case: Issued 1000, 7% debentures of Rs. 100 each at premium of 5%, redeemable at par.
5. What is Ex interest?

ROLL NO-103

1. Y limited forfeited 1500 shares of Rs. 10 each (Rs. 7 called-up) for the non-payment of the allotment money of Rs. 4 per share including Rs. 1 as premium. Of these, 1000 shares were re-issued to M at Rs. 6 per shares as Rs. 7 called-up. Journalise the above transaction in the books of Y Ltd.
2. Journal entries for Forfeiture of Preference shares
3. H Ltd had 8000, 8% Redeemable preference shares of Rs. 100 each fully paid up. Profit and loss A/c credit balance available – Rs. 300000. The company decided to redeem these preference shares at par by the issue of sufficient number of equity shares of Rs. 10 each fully paid up at par. You are required to pass necessary journal entries including cash transactions in the books of the company.
4. What is cum interest?
5. Nari Ltd exports on 15-12-2012 goods worth \$ 100000 to Orient Traders in New York. The payment was received on 28-2-2013. On the date of export, the exchange rate was \$ 1 = Rs. 45. The dollars were actually received when the exchange rate was \$ 1= Rs. 48. Record the transactions in the book of Nari Ltd. In accordance with AS11 (Revised)

ROLL NO- 138

1. Give Journal Entries for issue of debentures in the following case: Issued 1000, 7% debentures of Rs. 100 each at a discount of 5%, redeemable at a premium of 5%.
2. Non divisible profits
3. H Ltd had 10000, 8% Redeemable preference shares of Rs. 100 each fully paid up. Profit and loss A/c credit balance available – Rs. 300000. The company decided to redeem these preference shares at par by the issue of sufficient number of equity shares of Rs. 10 each fully paid up at par. You are required to pass necessary journal entries including cash transactions in the books of the company.
4. Redemption of partly paid preference shares
5. H Ltd had 8000, 8% Redeemable preference shares of Rs. 100 each fully paid up. The company decided to redeem these preference shares at par by the issue of sufficient number of equity shares of Rs. 10 each fully paid up at par. You are required to pass necessary journal entries including cash transactions in the books of the company.

ROLL NO-153

1. A ltd. Forfeited 300 equity shares of Rs. 10 fully called up held by Mr. X for non-payment of Final Call of Rs. 4 each. However, he paid application money @ Rs. 2 per share and allotment money @ Rs. 4 per share. These Shares were originally issued at par. Give Journal Entry for Forfeiture.
2. Q Ltd had 800, 9% Redeemable preference shares of Rs. 100 each fully paid up. The company decided to redeem these preference shares at par by the issue of sufficient number of equity shares of Rs. 10 each fully paid up at par. You are required to pass necessary journal entries including cash transactions in the books of the company.
3. Weighted Average Cost of Investment.
4. The directors of a company forfeited 200 shares of Rs. 10 each issued at a premium of Rs. 3, for the non-payment of the first call money of Rs. 3 per share. The Final Call of Rs. 2 per share has not been made. Half of the forfeited shares were re-issued at Rs. 1000 fully paid. Record Journal Entries for the forfeiture and re-issue of shares.
5. Journal entries for making all preference shares fully paid up

ROLL NO- 111

1. What is cum interest?
2. Nari Ltd exports on 15-12-2012 goods worth \$ 100000 to Orient Traders in New York. The payment was received on 28-2-2013. On the date of export, the exchange rate was \$ 1 = Rs.

45. The dollars were actually received when the exchange rate was \$ 1= Rs. 48. Record the transactions in the book of Nari Ltd. In accordance with AS11 (Revised)
3. Give Journal Entries for issue of debentures in the following case: Issued 1000, 7% debentures of Rs. 100 each at par, redeemable at premium at 5%.
 4. L Ltd. Forfeited 470 Equity Shares of Rs. 20 each issued at a premium of Rs. 3 per share for the non- payment of allotment money of Rs. 8 (including premium of Rs. 3) and first call of Rs. 2 per share. Final Call of Rs.5 per share was not made. Out of these, 235 shares were re-issued at Rs. 19 each fully paid. Pass necessary Journal entries for the above transactions in the books of L Ltd.
 5. Short notes on Accounting for Investment in Debentures.

ROLL NO- 117

1. Give Journal Entries for issue of debentures in the following case: Issued 1000, 7% debentures of Rs. 100 each at par, redeemable at par.
2. H Ltd had 5000, 8% Redeemable preference shares of Rs. 100 each fully paid up. Profit and loss A/c credit balance available – Rs. 200000. The company decided to redeem these preference shares at par by the issue of sufficient number of equity shares of Rs. 10 each fully paid up at par. You are required to pass necessary journal entries including cash transactions in the books of the company.
3. Give Journal Entries for issue of debentures in the following case: Issued 1000, 7% debentures of Rs. 100 each at premium of 5%, redeemable at par.
4. What is Ex interest?
5. Nari Ltd exports on 15-12-2012 goods worth \$ 300000 to Orient Traders in New York. The payment was received on 28-2-2013. On the date of export, the exchange rate was \$ 1 = Rs. 45. The dollars were actually received when the exchange rate was \$ 1= Rs. 48. Record the transactions in the book of Nari Ltd. In accordance with AS11 (Revised)

ROLL NO-128

1. On 1st april, 2012 Shri Ram had Rs. 200000, 6% Government stock at Rs. 94 (Face Value Rs. 100). Interest is payable half yearly in 31st March and 30th September. He sold Rs. 90000 of the stock at Rs. 95 ex-interest on 1st June, 2012. Draw up 6% Government stock account in the investment ledger of Mr. Ram for the year ended 31st March, 2013. The stock was quoted at Rs. 96 ex interest at the stock exchange on that date.
2. Short Note - Foreign Fluctuation Account
3. H Ltd had 4000, 8% Redeemable preference shares of Rs. 100 each fully paid up. Profit and loss A/c credit balance available – Rs. 150000. The company decided to redeem these preference shares at par by the issue of sufficient number of equity shares of Rs. 10 each

fully paid up at par. You are required to pass necessary journal entries including cash transactions in the books of the company.

4. On 1st april, 2012 Shri Ram had Rs. 500000, 8% Government stock at Rs. 94 (Face Value Rs. 100). Interest is payable half yearly in 31st March and 30th September. He sold Rs. 90000 of the stock at Rs. 95 ex-interest on 1st June, 2012. Draw up 6% Government stock account in the investment ledger of Mr. Ram for the year ended 31st March, 2013. The stock was quoted at Rs. 96 ex interest at the stock exchange on that date.
5. Nari Ltd exports on 15-12-2012 goods worth \$ 350000 to Orient Traders in New York. The payment was received on 28-2-2013. On the date of export, the exchange rate was \$ 1 = Rs. 45. The dollars were actually received when the exchange rate was \$ 1= Rs. 48. Record the transactions in the book of Nari Ltd. In accordance with AS11 (Revised)

ROLL NO-131

1. Nari Ltd exports on 15-12-2012 goods worth \$ 150000 to Orient Traders in New York. The payment was received on 28-2-2013. On the date of export, the exchange rate was \$ 1 = Rs. 45. The dollars were actually received when the exchange rate was \$ 1= Rs. 48. Record the transactions in the book of Nari Ltd. In accordance with AS11 (Revised)
2. What is Ex-Interest and Cum interest?
3. Nari Ltd exports on 15-12-2012 goods worth \$ 250000 to Orient Traders in New York. The payment was received on 28-2-2013. On the date of export, the exchange rate was \$ 1 = Rs. 45. The dollars were actually received when the exchange rate was \$ 1= Rs. 48. Record the transactions in the book of Nari Ltd. In accordance with AS11 (Revised)
4. On 1st april, 2012 Shri Ram had Rs. 400000, 6% Government stock at Rs. 94 (Face Value Rs. 100). Interest is payable half yearly in 31st March and 30th September. He sold Rs. 90000 of the stock at Rs. 95 ex-interest on 1st June, 2012. Draw up 6% Government stock account in the investment ledger of Mr. Ram for the year ended 31st March, 2013. The stock was quoted at Rs. 96 ex interest at the stock exchange on that date.
5. Journal entries for making all preference shares fully paid up

INTRODUCTION TO FINANCIAL MARKETS

ROLL NO-120

1. Explain financial markets. Explain the features of it.
2. Explain the structure of financial markets.
3. What are the classification of financial markets.
4. Write a note on foreign exchange market.
5. Explain the concept of primary market? What are the types of primary market.

ROLL NO-136

1. Explain the concept of capital market? What are the types of capital market.
2. What is capital market and explain its advantages.
3. What is capital market and explain its drawbacks.
4. What are the role played by the money market.
5. What is primary market and explain its advantages

ROLL NO- 141

1. What is primary market and explain its drawbacks.
2. What are the capital market instruments.
3. Explain financial market and who are the various participants in it.
4. Write a note on SEBI.
5. Explain derivatives. Types of the derivatives.

ROLL NO-158

1. Difference between Future and forward contracts.
2. Write a note on NSE.
3. Write a note on BSE.
4. Write a note on OTCEI.
5. Write a note on MCX.

ROLL NO- 137

1. Explain the function of derivative.
2. Explain the structure of derivative.
3. What is derivative and its advantages.
4. What is derivatives and its disadvantages.
5. Explain the concept of commodity market and its feature.

ROLL NO- 138

1. Explain commodity market and its advantages?
2. Explain commodity market and its drawback?
3. Explain the various function of commodity market?
4. Explain the structure of commodity market?
5. Explain the role commodity market of as financial markets?

ROLL NO -111

1. Explain forex market and the currency reserves of the country.
2. What are the various types of the commodity market?
3. What are the various types of market exchange?
4. Explain the various players in financial market?
5. Explain the term option and its various types?

ROLL NO-137

1. Describe financial market and its need.
2. What is capital formation and its process.
3. Write a note on foreign exchange market.
4. Write a note on commodity market
5. Write a note on NSE.

ROLL NO- 117

1. Write a note on BSE
2. Write a note on SEBI
3. Explain the guidelines provided by the SEBI for financial markets
4. What are the various instruments used in financial market.
5. Explain the various types of instruments of primary market.

ROLL NO- 144

1. Explain the various types of instruments of capital market.
2. Explain the overview of financial market.
3. Difference between capital market and capital market.
4. Explain various types of financial market.
5. What are the classification of derivatives?

BUSINESS COMMUNICATION-II

ROLL NO- 120

1. What are the various aspects involved in organizing a successful meeting?
2. Discuss 'WASP' as a technique of interview.
3. What are the functions of Public Relations?
4. Describe RTI in detail.
5. Write a note on Exit interview.

ROLL NO- 136

1. What is a conference? Explain the different types of conferences.
2. Draft a sales letter to promote diet ice cream.
3. As the General Secretary of the student's council you have been asked by the Principal to prepare a report on the performance of students at various inter-collegiate cultural events. Submit your report along with recommendations
4. What is video-conferencing?
5. What is an exit interview?

INTRODUCTION TO FINANCIAL INTERMEDIARIES

ROLL NO – 131

1. Explain the role of Financial Intermediaries.
2. Define financial system. Explain the structure of Indian financial system.
3. Write a note on Non Banking Finance Company.
4. Explain in brief various functions of commercial banks.
5. Explain the advantages and disadvantages of mutual funds.

ROLL NO- 120

1. Explain the role of SIDBI in development of small enterprises.
2. Write a note on Credit Rating Agencies in India.
3. Explain in details of concept of Information Asymmetry.
4. Explain the procedure of dematerialization of securities.
5. Write a note on Asset Liability Management.

ROLL NO- 136

1. What are SEBI regulations of Demat Account.
2. Write a note on Credit Risk.
3. Explain in detail various challenges faced by Financial Intermediaries.
4. Describe the role of NABARD in the development of rural economy.
5. Explain the need of financial intermediation in the financial system.

ROLL NO- 141

1. What are the challenges faced by commercial banks in India.
2. Write a note on future growth prospects of mutual funds.
3. Explain the future growth prospectus of commercial banks in India.
4. Explain the functions of PFC.
5. What is liquidity risk? Explain the role of financial intermediaries in reducing liquidity risk?

ROLL NO- 121

1. What are the factors influencing interest rate.
2. Write a note on Credit Risk Management.
3. Define depository? Importance of Depository.
4. Explain ALM? Explain the prerequisites of the effectiveness of ALM.
5. What are various risks faced by financial intermediaries?

ROLL NO- 158

1. Write a note on Performance measurement.
2. Explain Information Asymmetry and the role of Financial Intermediaries.
3. What is transaction cost and explain its types.
4. What is transaction Cost and what are the role of Financial intermediaries in reducing transaction cost.
5. Explain classifications of Financial intermediaries.

ROLL NO- 124

1. Write a note on NABARD.
2. Explain different types of Mutual Funds.
3. Explain different types of Banking companies.
4. Write a note on Financial Advisor.
5. Describe the benefits of Credit Rating Agencies to investors and companies.

ROLL NO-103

1. Write a note on Credit Union.
2. Explain the various function provided by the banking companies.
3. Write a note on CRICIL (Credit Rating Agencies)
4. Describe the benefits of Credit Rating Agencies to companies.
5. Explain the role of credit rating agencies.

ROLL NO- 138

1. What are the objectives of NABARD.
2. Explain the main function of NABARD.
3. Write a note on SIDBI.
4. Write a note on PFC.
5. Write a note on Infrastructure Leasing & Financial Services Limited.

ROLL NO- 111

1. Write a note on IDFC.
2. Explain the various services provided by NBFCs.
3. Difference between Banks and NBFCs.
4. Write a note on NBFCs.
5. What are the advantages of Mutual Funds.

ROLL NO- 137

1. What are the disadvantages of Mutual Funds
2. What are the factors responsible for the growth of Mutual Funds.
3. Write a note on Mutual Fund.
4. Difference between Provident Fund and Pension Fund.
5. Write a note on Pension Fund.

ROLL NO- 131

1. What are the advantages of Credit Union.
2. What are the disadvantages of Credit Union
3. Difference between banks and credit union.
4. What is the role of Insurance Companies as Financial Intermediaries.
5. Explain the various types of Insurance Companies.

INVESTMENT BANKING

ROLL NO- 101

1. What is the meaning of Investment? Explain its scope and benefits?
2. Define Asset Classes? Explain its classification?
3. What is asset Allocation? Explain in detail?
4. Define a portfolio? Explain how to create a portfolio?
5. What is portfolio management? Explain its process?

ROLL NO- 120

1. What is difference between commercial bank and investment bank?
2. What is the role of investment bankers?
3. **How do investment banks make money from offering these services to their clients?**
4. Discuss the services provided by the investment bankers?
5. Write a short note on investment banking concepts

ROLL NO- 136

1. Discuss private placement methods?
2. Discuss the role of venture capital firms in raising funds?
3. What is risk in investment? Explain its types?
4. What is risk and return differentiate between both?
5. Write a short note on:-ASBA?

ROLL NO- 141

1. Write a short note on :-IPO
2. Write a short note on :-FPO
3. Write a short note on :-SEBI
4. What are the regulators of SEBI?
5. What is industry analysis?

ROLL NO- 158

1. Discuss the role of IPO in Value unlocking?
2. Discuss one of the investment banking activities?
3. Discuss Role of an investment bank?
4. Function of investment banking – discuss?
5. Explain the Principles of Investing?

ROLL NO- 150

1. Write a short note on process of portfolio management?
2. Explain the role of investment bankers?
3. Explain private placements?
4. What are the elements of investment?
5. Explain process of funding process under venture capital?

ROLL NO- 102

6. What is the meaning of Investment? Explain its scope and benefits?
7. Define Asset Classes? Explain its classification?
8. What is asset Allocation? Explain in detail?
9. Define a portfolio? Explain how to create a portfolio?
10. What is portfolio management? Explain its process?

ROLL NO- 124

1. Explain the role of investment bankers?
2. Explain private placements?
3. What are the elements of investment?
4. Explain process of funding process under venture capital?
5. Explain different asset classes?

ROLL NO-138

1. Discuss one of the investment banking activities?
2. Discuss Role of an investment bank?
3. Function of investment banking – discuss?
4. Explain the Principles of Investing?
5. Write a short note on process of portfolio management?

ROLL NO- 111

1. What is difference between commercial bank and investment bank?
2. What is the role of investment bankers?
3. How do investment banks make money from offering these services to their clients?
4. Discuss the services provided by the investment bankers?
5. Write a short note on investment banking concepts?

ROLL NO- 137

1. What is risk in investment? Explain its types?
2. What is risk and return differentiate between both?
3. Write a short note on:-ASBA
4. Write a short note on :-IPO
5. Write a short note on :-FPO

ROLL NO -144

1. What is the meaning of Investment? Explain its scope and benefits?
2. Define Asset Classes? Explain its classification?
3. What is asset Allocation? Explain in detail?
4. Define a portfolio? Explain how to create a portfolio?
5. What is portfolio management? Explain its process?

